

A Full RNS Variant of FV like Somewhat Homomorphic Encryption Schemes

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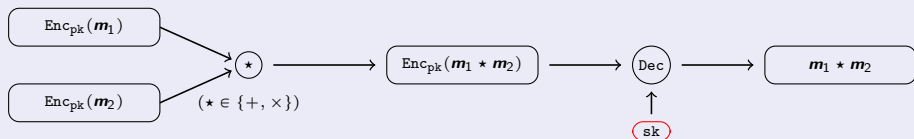
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Context

Homomorphic Encryption (HE):



“Noisy encryption”

- Each ciphertext contains a noise.
- After each homomorphic operation the noise grows.
- Decryption remains correct until the noise reaches a certain bound.
 - ⇒ Limited number of operations.
 - ⇒ “Somewhat” Homomorphic Encryption (SHE).

Purpose of this work

Arithmetical optimization of a certain type of SHE schemes.

Outline

- Introducing Residue Number Systems (RNS) and FV scheme
- Full RNS variant of FV decryption
- Full RNS variant of FV multiplication
- Experiments
- Conclusion

Introducing RNS and FV

Chinese Remainder Theorem

Pairwise **coprime** integers $\mathcal{B}_q = \{q_1, \dots, q_k\}$: “RNS base” ($q = \prod_{i=1}^k q_i$),

$$\varphi : \mathbb{Z}_q \xrightarrow{\sim} \mathbb{Z}_{q_1} \times \dots \times \mathbb{Z}_{q_k} \text{ (isomorphism)}$$

Residue Number Systems

- Large $x \in [0, q) \leftrightarrow k$ **small residues** ($x \bmod q_1, \dots, x \bmod q_k$).
- **Non positional** number system.
- **Parallel, carry-free** arithmetic $+$, $-$, \times , \div on residues.

Base extensions $\mathcal{B}_q = \{q_1, \dots, q_k\} \rightarrow \mathcal{B} = \{m_1, \dots, m_\ell\}$

- **Fast** but **approximate**: x in $\mathcal{B}_q \rightarrow |x|_q + \alpha q$ in \mathcal{B} .
- Sometimes, possible to add an **extra modulus** m to correct α efficiently.

Introducing RNS and FV

Where everything happens in *FV scheme* (Fan and Vercauteren, 2012)

$\mathcal{R} = \mathbb{Z}[X]/(X^n + 1)$, $n = 2^h \leftrightarrow$ integer polynomials of degree $< n$

- t : **plaintext** modulus (**given**), $\mathbf{m} \in \mathcal{R}_t = \mathcal{R}/t\mathcal{R}$ (coeff. modulo t)
- q : **ciphertext** modulus ($\gg t$), $\mathbf{c} \in \mathcal{R}_q \times \mathcal{R}_q$ (coeff. modulo q)

- $[x]_q$ is $(x \bmod q)$ in $[-q/2, q/2)$ (centered remainder),
- $|x|_q$ is $(x \bmod q)$ in $[0, q)$ (classical non negative remainder).

Introducing RNS and FV

Context: $\mathcal{R}_q = \mathbb{Z}_q[X]/(X^n + 1)$

Common optimizations *for arithmetic on...*

...coefficients: **Residue Number Systems**

q free of form: choose $q = q_1 \dots q_k$ (small prime moduli q_i)

$$\mathbb{Z}_q \simeq \mathbb{Z}_{q_1} \times \dots \times \mathbb{Z}_{q_k}$$

...polynomials: **Number Theoretic Transform**

Optimized polynomial product (for n a power of 2): $\mathcal{O}(n \log_2(n))$
(**matches with RNS** representation)

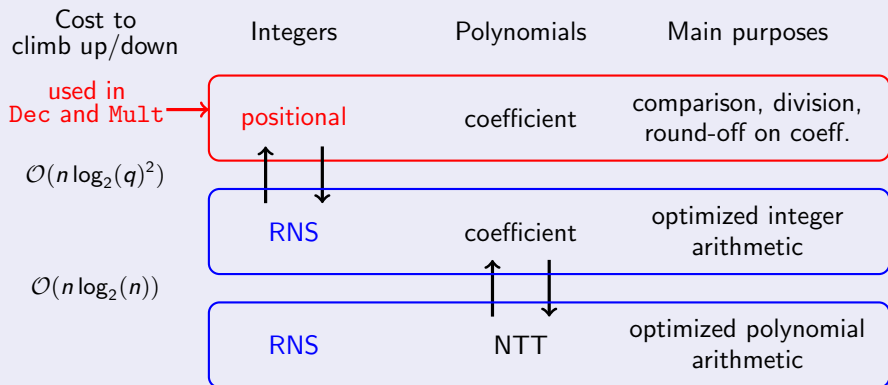
Introducing RNS and FV

“Ladder” of representations

Cost to climb up/down	Integers	Polynomials	Main purposes
$\mathcal{O}(n \log_2(q)^2)$	positional	coefficient	comparison, division, round-off on coeff.
	↑ ↓		
	RNS	coefficient	optimized integer arithmetic
$\mathcal{O}(n \log_2(n))$		↑ ↓	
	RNS	NTT	optimized polynomial arithmetic

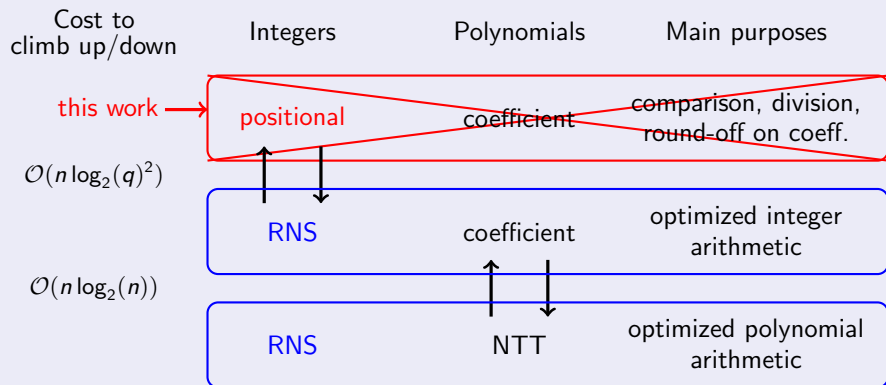
Introducing RNS and FV

“Ladder” of representations



Introducing RNS and FV

“Ladder” of representations



Introducing RNS and FV

χ_{key} and χ_{err} : “small” distributions on \mathcal{R}_q ; \mathcal{U} : uniform distrib. on \mathcal{R}_q

Key Generation

- 1 sample $\mathbf{s} \leftarrow \chi_{key}$
- 2 sample $(\mathbf{a}, \mathbf{e}) \leftarrow \mathcal{U} \times \chi_{err}$
- 3 output $\mathbf{pk} = (\mathbf{p}_0, \mathbf{p}_1) = ([-(\mathbf{a}\mathbf{s} + \mathbf{e})]_q, \mathbf{a})$ (RLWE sample)
 $\mathbf{sk} = \mathbf{s}$

Encryption

$[m]_t \in \mathcal{R}_t$ to be encrypted, public key \mathbf{pk} ,

- 1 sample $(\mathbf{e}_1, \mathbf{e}_2, \mathbf{u}) \leftarrow (\chi_{err})^2 \times \mathcal{U}$
- 2 output $(\mathbf{c}_0, \mathbf{c}_1) = ([\Delta[m]_t + \mathbf{p}_0\mathbf{u} + \mathbf{e}_1]_q, [\mathbf{p}_1\mathbf{u} + \mathbf{e}_2]_q)$ (with $\Delta = \lfloor \frac{q}{t} \rfloor$)

$$[\mathbf{c}_0 + \mathbf{c}_1\mathbf{s}]_q = [\Delta[m]_t + \mathbf{v}]_q$$

\mathbf{v} : “fresh noise”

Full RNS variant of FV decryption

The decryption process

$(\mathbf{c}_0, \mathbf{c}_1)$ encrypting $[\mathbf{m}]_t$, with noise \mathbf{v} : $[\mathbf{c}_0 + \mathbf{c}_1 \mathbf{s}]_q = \Delta[\mathbf{m}]_t + \mathbf{v} + q\mathbf{r}$

① scale-down: $\frac{t}{q} \cdot [\mathbf{c}_0 + \mathbf{c}_1 \mathbf{s}]_q = [\mathbf{m}]_t + \frac{\mathbf{v}'}{q} + t\mathbf{r}$

② round-off: $\lfloor [\mathbf{m}]_t + \frac{\mathbf{v}'}{q} + t\mathbf{r} \rfloor = [\mathbf{m}]_t + \lfloor \frac{\mathbf{v}'}{q} \rfloor + t\mathbf{r}$

Bound on noise: $\|\mathbf{v}\|_\infty = \max(|v_i|) < \frac{\Delta - |q|_t}{2} \Rightarrow \lfloor \frac{\mathbf{v}'}{q} \rfloor = 0$.

$$\text{Dec}(\mathbf{c}, \mathbf{sk}) = \lfloor \lfloor \frac{t}{q} \cdot [\mathbf{c}_0 + \mathbf{c}_1 \mathbf{s}]_q \rfloor \rfloor_t = \lfloor [\mathbf{m}]_t + t\mathbf{r} \rfloor_t = [\mathbf{m}]_t$$

Issue for RNS (**non positional**) representation

How to compute $(\lfloor \frac{t}{q} \cdot x \rfloor \bmod t)$ in RNS? (q, t known; input $x \bmod q$ in RNS)

Full RNS variant of FV decryption

Computing a round-off in RNS

In RNS, exact division can be done efficiently, so we use:

$$\left\lfloor \frac{t}{q} \cdot x \right\rfloor = \frac{tx - |tx|_q}{q} + b, \quad (b \in \{0, 1\})$$

- ① **fast approximate extension** of $|tx|_q$ (in RNS) to RNS base $\{t\}$:

$$\sum_{i=1}^k |tx \frac{q_i}{q}|_{q_i} \cdot \left| \frac{q}{q_i} \right|_t \bmod t = |tx|_q + \alpha q \bmod t \quad (\alpha \in [0, k) \cap \mathbb{Z})$$

- ② $\frac{tx - (|tx|_q + \alpha q)}{q} = \left\lfloor \frac{t}{q} \cdot x \right\rfloor - \alpha = \left\lfloor \frac{t}{q} \cdot x \right\rfloor - E \bmod t$ (with $E = \alpha + b \leq k$)

Remark: since tx cancels modulo t , only compute $\frac{-(|tx|_q + \alpha q)}{q} \bmod t$.

An error occurs

Error E corrected \Rightarrow correct decryption.

Full RNS variant of FV decryption

Correcting the error

Rewrite in \mathbb{Z} : $tx = \lfloor \frac{t}{q} \cdot x \rfloor q + [tx]_q$

If gap $\varepsilon > 0$ ($-\frac{q}{2} + \varepsilon \leq [tx]_q \leq \frac{q}{2} - \varepsilon$) then **scale** by $\gamma \in \mathbb{N}$:

$$\left\lfloor \gamma \frac{t}{q} \cdot x \right\rfloor - E = \gamma \left\lfloor \frac{t}{q} \cdot x \right\rfloor + \left\lfloor \gamma \frac{[tx]_q}{q} \right\rfloor - E$$

Now comes the **trick**

If $\gamma\varepsilon \geq k + \frac{1}{2}$ then $|\lfloor \gamma \frac{[tx]_q}{q} \rfloor - E| < \frac{\gamma}{2}$

\rightsquigarrow computing $\left\lfloor \gamma \frac{t}{q} \cdot x \right\rfloor - E \Big|_{\gamma} = \left\lfloor \gamma \frac{[tx]_q}{q} \right\rfloor - E$ gives exactly the error

Strategy

- 1 Compute $\lfloor \gamma \frac{t}{q} \cdot x \rfloor$ modulo t **and** modulo γ .
- 2 Use **centered remainder** modulo γ **to correct** the error.

Full RNS variant of FV decryption

$\text{Dec}_{\text{RNS}}((\mathbf{c}_0, \mathbf{c}_1), \mathbf{s}, \gamma)$

Require: $(\mathbf{c}_0, \mathbf{c}_1)$ an encryption of $[\mathbf{m}]_t$, and \mathbf{s} the secret key, both in base q ; an integer γ coprime to t and q

Ensure: $[\mathbf{m}]_t$

- 1: **for** $m \in \{t, \gamma\}$ **do**
- 2: $\mathbf{s}^{(m)} \leftarrow \sum_{i=1}^k |\gamma t^{\frac{q_i}{q}} \cdot (\mathbf{c}_0 + \mathbf{c}_1 \mathbf{s})|_{q_i} \times | -\frac{q}{q_i} q^{-1} |_m \bmod m$
- 3: **end for**
- 4: $\tilde{\mathbf{s}}^{(\gamma)} \leftarrow [\mathbf{s}^{(\gamma)}]_{\gamma}$
- 5: $\mathbf{m}^{(t)} \leftarrow [(\mathbf{s}^{(t)} - \tilde{\mathbf{s}}^{(\gamma)}) \times |\gamma^{-1}|_t]_t$
- 6: **return** $\mathbf{m}^{(t)}$

Contributions

- Better asymptotic complexity: $\mathcal{O}(n^3) \rightarrow \mathcal{O}(n^2 \log_2(n))$.
- Very flexible in terms of parallelization.
- Modified bound for noise: $\|\mathbf{v}\|_{\infty} < \frac{\Delta - |q|_t}{2} - \frac{k\Delta}{\gamma}$.
(although no significant consequence in practice)

Full RNS variant of FV multiplication

Homomorphic multiplication of (c_0, c_1) by (c'_0, c'_1)

Issues in original process for an RNS variant

- 1 Computing $(\tilde{c}_0, \tilde{c}_1, \tilde{c}_2) = (c_0 c'_0, c_0 c'_1 + c'_0 c_1, c_1 c'_1)$ over \mathbb{Z} (lift).

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- 2 **division+round-off**: $\hat{c}_i = \lfloor \frac{t}{q} \cdot \tilde{c}_i \rfloor$.
("degree-2" ciphertext: $\hat{c}_0 + \hat{c}_1 s + \hat{c}_2 s^2 = \Delta[m_1 m_2] + v \pmod q$)

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- 3 Relinearizing: $(\hat{c}_0 + \hat{c}_2 s^2, \hat{c}_1) \xrightarrow{s \text{ private}} (\hat{c}_0 + \hat{c}_2 (s^2 + e + as), \hat{c}_1 - a \hat{c}_2)$.
 - ▶ But large noise $\|\hat{c}_2 \times e\|_\infty < q \times n B_{\text{err}}$! Original solution is to...

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 - ▶ **decompose** $\hat{c}_2 = \mathbf{b}_0 + \mathbf{b}_1 \omega + \dots + \mathbf{b}_{\ell-1} \omega^{\ell-1}$ **in radix** ω .

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 - ▶ Public key: $\text{r1k} = (s^2 \cdot (1, \omega, \dots, \omega^{\ell-1}) + \vec{e} + \vec{a} s, -\vec{a})$.

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 - ▶ Smaller noise $\|(b_0, b_1, \dots, b_\ell) \cdot \vec{e}\|_\infty < \ell \omega \times n B_{\text{err}}$.

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 - ▶ Public key: $\text{rlk} = (s^2 \cdot (1, \omega, \dots, \omega^{\ell-1}) + \vec{e} + \vec{a} s, -\vec{a})$.
 - ▶ Smaller noise $\|(b_0, b_1, \dots, b_\ell) \cdot \vec{e}\|_\infty < \ell \omega \times n B_{\text{err}}$.

Issues for RNS representation

Lifting in \mathbb{Z} , dividing and rounding, using positional system in radix ω ...

Full RNS variant of FV multiplication

Problem 1: Computing the products $(\tilde{c}_0, \tilde{c}_1, \tilde{c}_2)$

$\|\tilde{c}_i\|_\infty < \sim nq^2$: no lift in \mathbb{Z} , just use a larger base than $\{q_1, \dots, q_k\}$

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Solutions: Introducing a second RNS base \mathcal{B}

Step	base q_1, \dots, q_k		base $\mathcal{B} \cup \{\tilde{m}\}$
0	$\mathbf{c}_i, \mathbf{c}'_j$		
1	$\mathbf{c}_i, \mathbf{c}'_j$	$\xrightarrow[\text{extension}]{\text{fast approximate}}$	$[\mathbf{c}_i]_q + \mathbf{qu}_i, [\mathbf{c}'_j]_q + \mathbf{qu}'_j$ <i>to be reduced</i>
2			$\hat{\mathbf{c}}_i \leftarrow \text{MRed}_{\mathbf{q}, \tilde{m}}([\mathbf{c}_i]_q + \mathbf{qu}_i)$
3	$\tilde{\mathbf{c}}_0 \leftarrow \mathbf{c}_0 \times \mathbf{c}'_0$, etc		$\tilde{\mathbf{c}}_0 \leftarrow \hat{\mathbf{c}}_0 \times \hat{\mathbf{c}}'_0$, etc

- Only **fast approximate** RNS base extensions to get $[\mathbf{c}_i]_q, [\mathbf{c}'_j]_q$ in \mathcal{B} ,
- + **low cost Montgomery reductions** of the approximations “ $\mathbf{u}_i, \mathbf{u}'_j$ ”.

Full RNS variant of FV multiplication

Problem 2: Division and round-off $\hat{c}_i = \lfloor \frac{t}{q} \cdot \tilde{c}_i \rfloor$ in RNS

Context \neq decryption: no large enough gap $\frac{q}{2} - \|[\tilde{c}_i]_q \| \geq \varepsilon > 0$, **no** guaranteed **correct RNS round-off**.

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Solution: Fast approximate RNS round-off

Step	base q_1, \dots, q_k		base $\mathcal{B} \cup \{m\}$
0	\tilde{c}_i		\tilde{c}_i
1	$t \cdot \tilde{c}_i$	$\xrightarrow[\text{extension}]{\text{fast approximate}}$	$ t \cdot \tilde{c}_i _q + q\tilde{u}_i$
2			$\hat{c}_i \leftarrow \frac{t \cdot \tilde{c}_i - (t \cdot \tilde{c}_i _q + q\tilde{u}_i)}{q}$
3	$\hat{c}_i = \lfloor \frac{t}{q} \cdot \tilde{c}_i \rfloor + \mathbf{e}_i$ ($\ \mathbf{e}_i\ _\infty \leq k$)	$\xleftarrow[\text{extension}]{\text{efficient exact}}$	$\hat{c}_i, \hat{c}_i _m$ (use $ \hat{c}_i _m$ to correct the fast extension efficiently)

Approximate round-off \Rightarrow new analysis of noise growth provided.

Full RNS variant of FV multiplication

Problem 3: Access to positional system

$\hat{\mathbf{c}}_2 = \mathbf{b}_0 + \mathbf{b}_1\omega + \dots + \mathbf{b}_{\ell-1}\omega^{\ell-1}$ in **positional** system with radix ω

Recall: replace $\hat{\mathbf{c}}_2 \times \mathbf{e} \stackrel{\|\cdot\|}{\sim} \mathbf{q} \times nB_{\text{err}} \rightsquigarrow (\mathbf{b}_0, \mathbf{b}_1, \dots, \mathbf{b}_{\ell}) \cdot \vec{\mathbf{e}} \stackrel{\|\cdot\|}{\sim} \ell\omega \times nB_{\text{err}}$

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Solution: Just use RNS representation...

If $\omega \sim q_i$ (i.e. $\ell = k$), use RNS representation for a fairly equivalent effect.

$$\hat{\mathbf{c}}_2 = \mathbf{b}_0 + \mathbf{b}_1\omega + \dots + \mathbf{b}_{\ell-1}\omega^{\ell-1} \quad (\mathbf{b}_i = \lfloor \hat{\mathbf{c}}_2\omega^{-i} \rfloor_{\omega})$$

$$\hat{\mathbf{c}}_2 = \mathbf{d}_1 \frac{q}{q_1} + \mathbf{d}_2 \frac{q}{q_2} + \dots + \mathbf{d}_k \frac{q}{q_k} \bmod q \quad (\mathbf{d}_i = \lfloor \hat{\mathbf{c}}_2 \frac{q_i}{q} \rfloor_{q_i})$$

$$\|\mathbf{b}_i\|_{\infty} \sim \|\mathbf{d}_i\|_{\infty} \Rightarrow \|(\mathbf{b}_0, \mathbf{b}_1, \dots, \mathbf{b}_{\ell-1}) \cdot \vec{\mathbf{e}}\|_{\infty} \sim \|(\mathbf{d}_1, \mathbf{d}_2, \dots, \mathbf{d}_k) \cdot \vec{\mathbf{e}}\|_{\infty}$$

$$\Rightarrow \text{bound } \ell\omega \times nB_{\text{err}} \text{ becomes } k\omega \times nB_{\text{err}}$$

$$\text{Public key: } \mathbf{s}^2 \cdot (1, \omega, \dots, \omega^{\ell-1}) + \vec{\mathbf{e}} + \vec{\mathbf{a}}\mathbf{s} \rightsquigarrow \mathbf{s}^2 \cdot \left(\frac{q}{q_1}, \dots, \frac{q}{q_k}\right) + \vec{\mathbf{e}} + \vec{\mathbf{a}}\mathbf{s}$$

Full RNS variant of FV multiplication

Contributions

- Prior costly operations over $\mathbb{Z} \rightsquigarrow$ fast RNS base extensions.
- Fairly equivalent noise growth (mult. depth unchanged most of the time).
- Same number of polynomial products \Rightarrow same asymptotic complexity.
- Better complexity for operations on coefficients.
- Well suited for parallelization.

Experiments

Software implementation

- in C++,
- based on NFLlib (dedicated to RNS polynomial arith. in \mathcal{R} with NTT),
- compared with^a standard approach with NFLlib+GMP 6.1.0,
- on laptop under Fedora 22 with i7-4810MQ CPU @ 2.80GHz, g++ 5.3.1, Hyper-Threading and Turbo Boost turned off.

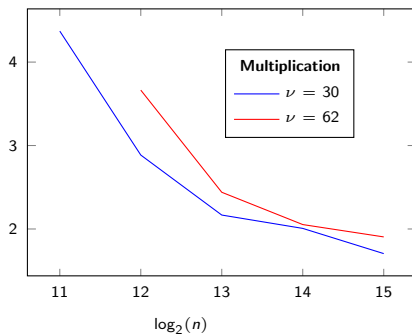
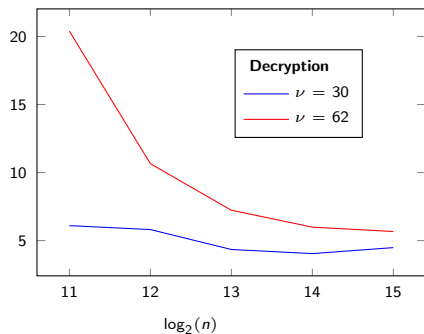
^a<https://github.com/CryptoExperts/FV-NFLlib>

Experiments - Speed-up factors

ν bit-size of moduli	$\log_2(n)$	11	12	13	14	15
30	k	3	6	13	26	53
62	k	1	3	6	12	25

$$t = 2^{10}$$

$$\gamma = 2^8 \text{ (sufficient; practical)}$$



$n \nearrow \Rightarrow$ NTT's dominate computational effort \Rightarrow speed-up \searrow .

Conclusion

- Optimization of arithmetic on polynomials at the coefficient level.
 - Benefits to SHE schemes like FV.
 - No more need of any positional system: only RNS.
- Possible greater noise growth, but not that significant in practice.
- Opens the door to highly competitive parallel implementation of homomorphic encryption.