

# Fault Attacks Against Lattice-Based Signatures

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# Towards postquantum cryptography

- ▶ Quantum computers *would* break all currently deployed public-key crypto: RSA, discrete logs, elliptic curves
- ▶ Agencies warnings
  - ▶ NSA deprecating Suite B (elliptic curves)
  - ▶ NIST starting postquantum competition

# Towards postquantum cryptography

- ▶ **In theory**, plenty of schemes quantum-resistant
  - ▶ Code-based, hash trees, multivariate crypto, isogenies...
  - ▶ Almost everything possible with **lattices**
  
- ▶ **In practice**, very few actual implementations
  - ▶ Secure parameters often unclear
  - ▶ Concrete software/hardware implementation papers quite rare
  - ▶ Almost no consideration for **implementation attacks**
  
- ▶ Serious issue for practical postquantum crypto

# Implementations of lattice-based schemes (I)

- ▶ Implementation of lattice-based crypto:

Limited and mostly academic

- ▶ One scheme has “industry” backing and quite a bit of code:  
NTRU
  - ▶ **NTRUEncrypt**, ANSI standard, believed to be okay
  - ▶ **NTRUSign** is a trainwreck that has been patched and broken

## Implementations of lattice-based schemes (II)

- ▶ In terms of practical schemes, other than NTRU, main efforts on signatures
  - ▶ **GLP**: improvement of Lyubashevsky signatures, efficient in SW and HW (**CHES'12**)
  - ▶ **BLISS**: improvement of GLP, even better (**CRYPTO'13**, **CHES'14**)
  - ▶ **GPV**: obtained as part of Ducas, Lyubashevsky, Prest NTRU-based IBE (**AC'14**),
  - ▶ **PASSSign** (**ACNS'14**), **TESLA** (**LATINCRYPT 14**),...

# Implementation attacks vs provable security

Break a **provably secure** cryptographic scheme:

*Solve a hard computational problem*



Break an **implementation**

*Potentially bypass security proof*

“PROBLEM EXISTS BETWEEN KEYBOARD AND CHAIR”

# Implementation attacks

- ▶ **Side-channel attacks:** *Passive physical attacks, exploiting information leakage*
  - ▶ Timing attacks, power analysis, EM attacks, cache attacks, acoustic attacks...
  
- ▶ **Fault attacks:** *Active physical attacks, extract secret information by tampering with the device to cause errors*
  - ▶ Faults on memory: lasers, x-rays...
  - ▶ Faults on computation: variations in supply voltage, external clock, temperature...

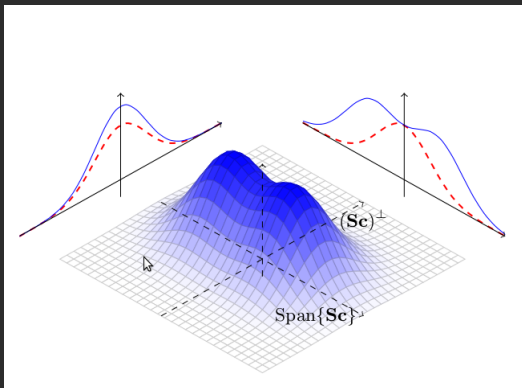
# BLISS: the basics

- ▶ Introduced by *Ducas, Durmus, Lepoint and Lyubashevsky* at **CRYPTO'13**
- ▶ Improvement of Ring-SIS-based scheme of Lyubashevsky
- ▶ Still kind of “Fiat–Shamir signatures”



# BLISS: the basics

- ▶ Defined over  $\mathcal{R} = \mathbb{Z}[\mathbf{x}]/(\mathbf{x}^n + 1)$
- ▶ Main improvement: Reduce the size of parameters by **Bimodal Gaussian** distributions



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*Distributio Camelus bactrianus*

# BLISS: key generation

- 1: **function** KEYGEN()
- 2:     choose  $\mathbf{f}, \mathbf{g}$  as uniform polynomials with exactly  $d_1 = \lceil \delta_1 n \rceil$  entries in  $\{\pm 1\}$  and  $d_2 = \lceil \delta_2 n \rceil$  entries in  $\{\pm 2\}$
- 3:      $\mathbf{S} = (\mathbf{s}_1, \mathbf{s}_2)^T \leftarrow (\mathbf{f}, 2\mathbf{g} + \mathbf{1})^T$
- 4:     **if**  $N_\kappa(\mathbf{S}) \geq C^2 \cdot 5 \cdot (\lceil \delta_1 n \rceil + 4\lceil \delta_2 n \rceil) \cdot \kappa$  **then restart**
- 5:     **if**  $\mathbf{f}$  is not invertible **then restart**
- 6:      $\mathbf{a}_q = (2\mathbf{g} + \mathbf{1})/\mathbf{f} \bmod q$
- 7:     **return**  $(pk = \mathbf{A}, sk = \mathbf{S})$  where  
       $\mathbf{A} = (\mathbf{a}_1 = 2\mathbf{a}_q, q - 2) \bmod 2q$
- 8: **end function**

# BLISS: signature

- 1: **function** SIGN( $\mu, pk = \mathbf{A}, sk = \mathbf{S}$ )
- 2:  $\mathbf{y}_1, \mathbf{y}_2 \leftarrow D_{\mathbb{Z}, \sigma}^n$  ▷ Gaussian sampling
- 3:  $\mathbf{u} = \zeta \cdot \mathbf{a}_1 \cdot \mathbf{y}_1 + \mathbf{y}_2 \bmod 2q$  ▷  $\zeta = 1/(q - 2)$
- 4:  $\mathbf{c} \leftarrow H(\lfloor \mathbf{u} \rfloor_d \bmod p, \mu)$  ▷ special hashing
- 5: choose a random bit  $b$
- 6:  $\mathbf{z}_1 \leftarrow \mathbf{y}_1 + (-1)^{b_{s_1}} \mathbf{c}$
- 7:  $\mathbf{z}_2 \leftarrow \mathbf{y}_2 + (-1)^{b_{s_2}} \mathbf{c}$
- 8: **continue** with probability  $1/(M \exp(-\|\mathbf{S}\mathbf{c}\|/(2\sigma^2)) \cosh(\langle \mathbf{z}, \mathbf{S}\mathbf{c} \rangle / \sigma^2))$  otherwise **restart**
- 9:  $\mathbf{z}_2^\dagger \leftarrow (\lfloor \mathbf{u} \rfloor_d - \lfloor \mathbf{u} - \mathbf{z}_2 \rfloor_d) \bmod p$
- 10: **return**  $(\mathbf{z}_1, \mathbf{z}_2^\dagger, \mathbf{c})$
- 11: **end function**

# BLISS: verification

- 1: **function** **VERIFY**( $\mu, \mathbf{A}, (\mathbf{z}_1, \mathbf{z}_2^\dagger, \mathbf{c})$ )
- 2:     **if**  $\|(\mathbf{z}_1 | 2^d \cdot \mathbf{z}_2^\dagger)\|_2 > B_2$  **then** reject
- 3:     **if**  $\|(\mathbf{z}_1 | 2^d \cdot \mathbf{z}_2^\dagger)\|_\infty > B_\infty$  **then** reject
- 4:     **accept iff**  $\mathbf{c} = H(\lfloor \zeta \cdot \mathbf{a}_1 \cdot \mathbf{z}_1 + \zeta \cdot \mathbf{q} \cdot \mathbf{c} \rfloor_d + \mathbf{z}_2^\dagger \bmod p, \mu)$
- 5: **end function**

Let's break things!



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# Attacking $y$

- ▶  $y_1$  ( $\equiv$  discrete Gaussian)  $\approx$  additive mask in

$$z_1 \equiv y_1 + (-1)^b s_1 c \pmod{q}$$

- ▶ Sampling: coefficient by coefficient
- ▶ Use fault injection to abort the sampling early  $\implies$  faulty signature with a low-degree  $y_1$
- ▶ Done by attacking:
  - ▶ Branching test of the loop (voltage spike, clock variation...)
  - ▶ Contents of the loop counter (lasers, x-rays...)

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# Attack details (I)

- ▶ Signature generated with  $\mathbf{y}_1$  of degree  $m \ll n$
- ▶ If  $\mathbf{c}$  invertible (probability  $(1 - 1/q)^n \approx 96\%$ ):

$$\mathbf{v} = \mathbf{c}^{-1} \mathbf{z}_1 \equiv \mathbf{c}^{-1} \mathbf{y}_1 + (-1)^b \mathbf{s}_1 \pmod{q}$$

*WLOG,  $b = 0$  (equivalent keys)*

- ▶  $\mathbf{s}_1$  is short  $\implies \mathbf{v}$  very close to lattice

$$L = \text{Span}\{q\mathbb{Z}^n, (\mathbf{w}_i = \mathbf{c}^{-1} \mathbf{x}^i)_{0 \leq i \leq m-1}\}$$

- ▶  $\dim(L) = n$  too large to apply lattice reduction

Same relation on subset of coefficients:  $(i, j) \in \mathcal{I}, \mathcal{J} \subseteq \mathcal{I}$

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Same relation on subset of coefficients: **REDUCE THE DIM**

## Attack details (II)

- ▶ Subset  $I \subset \{0, \dots, n-1\}$  of cardinal  $\ell$   $\varphi_I: \mathbb{Z}^n \rightarrow \mathbb{Z}^I$  projection
- ▶  $\varphi_I(\mathbf{v})$  close to the lattice generated by  $\varphi_I(\mathbf{w}_i)$  and  $q\mathbb{Z}^I$   
If  $\ell$  large enough, difference should be  $\varphi_I(\mathbf{s}_1)$ .
- ▶ CVP using Babai nearest plane algorithm. Condition on  $\ell$  to recover  $\varphi_I(\mathbf{s}_1)$ :

$$\ell + 1 \gtrsim \frac{m + 2 + \frac{\log \sqrt{\delta_1 + 4\delta_2}}{\log q}}{1 - \frac{\log \sqrt{2\pi e(\delta_1 + 4\delta_2)}}{\log q}}$$

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## Attack details (III)

- ▶ In practice: Works fine with LLL for  $m \lesssim 60$  and with BKZ with  $m \lesssim 100$
- ▶ Apply the attack for several choices of  $l$  to recover all of  $s_1$ , and subsequently  $s_2$ : full key recovery with one faulty signature!

Fault iteration $m =$	2	5	10	20	40	60	80	100
Theoretical min dim $r_{\min}$	3	6	11	22	44	66	88	110
Dim $r$ (experimental)	3	6	12	24	50	80	110	140
Reduction algorithm	LLL	LLL	LLL	LLL	BKZ-20	BKZ-25	BKZ-25	BKZ-25
Success probab. (%)	100	99	100	100	100	100	100	98
Time recovery $r$ coeffs. (s)	0.002	0.005	0.022	0.23	7.3	119	941	33655
Time full key recovery	0.5 s	0.5 s	1 s	5 s	80 s	14 min	80 min	38 h



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# Attack in a nutshell

- ▶ **Step 1:** Fault on the generation of the fresh element  $y_1$ .
- ▶ **Step 2:** Find **parts** of the secret with multiple **CVP instances**.
- ▶ **Step 3:** Recombine them to do a full key recovery.

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## GPV-Based scheme

- ▶ Variant of Ducas-Lyubashevsky-Prest based on GPV-style lattice trapdoors.
- ▶ Defined once again over  $\mathcal{R} = \mathbb{Z}[\mathbf{x}]/(\mathbf{x}^n + 1)$
- ▶ Secret key:

$$\mathbf{B} \leftarrow \begin{pmatrix} \mathbf{M}_g & -\mathbf{M}_f \\ \mathbf{M}_G & -\mathbf{M}_F \end{pmatrix} \in \mathbb{Z}^{2n \times 2n}$$

$$\text{for } \mathbf{f} \leftarrow D_{\sigma_0}^n, \mathbf{g} \leftarrow D_{\sigma_0}^n$$

$$f \cdot G - g \cdot F = q$$

# Sign and Verify

```
1: function SIGN( $\mu$ ,  $sk = \mathbf{B}$ )
2:    $\mathbf{c} \leftarrow H(\mu) \in \mathbb{Z}_q^n$ 
3:    $(\mathbf{y}, \mathbf{z}) \leftarrow (\mathbf{c}, \mathbf{0}) - \text{GAUSSIAN SAMPLER}(\mathbf{B}, \sigma, (\mathbf{c}, \mathbf{0})) \triangleright \mathbf{y}, \mathbf{z}$   
   are short and satisfy  $\mathbf{y} + \mathbf{z} \cdot \mathbf{h} = \mathbf{c} \pmod q$ 
4:   return  $\mathbf{z}$ 
5: end function
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1: function VERIFY( $\mu$ ,  $pk = \mathbf{h}, \mathbf{z}$ )
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# Gaussian Sampling

- 1: **function** GAUSSIAN\_SAMPLER( $\mathbf{B}, \sigma, \mathbf{c}$ )  $\triangleright \mathbf{b}_i$  (resp.  $\tilde{\mathbf{b}}_i$ ) are the rows of  $\mathbf{B}$  (resp. of its Gram–Schmidt matrix  $\tilde{\mathbf{B}}$ )
- 2:      $\mathbf{v} \leftarrow \mathbf{0}$
- 3:     **for**  $i = 2n$  **down to** 1 **do**
- 4:          $c' \leftarrow \langle \mathbf{c}, \tilde{\mathbf{b}}_i \rangle / \|\tilde{\mathbf{b}}_i\|_2^2$
- 5:          $\sigma' \leftarrow \sigma / \|\tilde{\mathbf{b}}_i\|_2$
- 6:          $r \leftarrow D_{\mathbb{Z}, \sigma', c'}$
- 7:          $\mathbf{c} \leftarrow \mathbf{c} - r\mathbf{b}_i$  and  $\mathbf{v} \leftarrow \mathbf{v} + r\mathbf{b}_i$
- 8:     **end for**
- 9:     **return**  $\mathbf{v}$       $\triangleright \mathbf{v}$  sampled according to the lattice Gaussian distribution  $D_{\Lambda, \sigma, \mathbf{c}}$
- 10:     **end function**

# Gaussian Sampling

- 1: **function** GAUSSIAN\_SAMPLER( $\mathbf{B}, \sigma, \mathbf{c}$ )  $\triangleright \mathbf{b}_i$  (resp.  $\tilde{\mathbf{b}}_i$ ) are the rows of  $\mathbf{B}$  (resp. of its Gram–Schmidt matrix  $\tilde{\mathbf{B}}$ )
- 2:      $\mathbf{v} \leftarrow \mathbf{0}$
- 3:     **for**  $i = 2n$  **down to** 1 **do**
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# Attacking the Gaussian sampler

- ▶ Correctly generated signature: element of the form

$$\mathbf{z} = \mathbf{R} \cdot \mathbf{f} + \mathbf{r} \cdot \mathbf{F} \in \mathbb{Z}[\mathbf{x}]/(\mathbf{x}^n + 1)$$

- ▶ Faults introduced after  $m$  iterations of the generation of  $r, R$ :

$$\mathbf{z} = r_0 \mathbf{x}^{n-1} \mathbf{F} + r_1 \mathbf{x}^{n-2} \mathbf{F} + \dots + r_{m-1} \mathbf{x}^{n-m} \mathbf{F}.$$

- ▶ Belongs to lattice :

$$L = \text{Span}(\mathbf{x}^{n-i} \mathbf{F})$$

for  $1 \leq i \leq m$ .



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# Multiple faulted signatures?

- ▶  $\mathbf{z}^{(1)}, \dots, \mathbf{z}^{(\ell)}$  faulty signatures.
- ▶ With probability  $\geq \prod_{k=l-m+1}^{+\infty} \frac{1}{\zeta(k)}$  generates  $L$ . [Maze, Rosenthal, Wagner]
- ▶ SVP of  $L$  should be one of the  $\mathbf{x}^{n-i}\mathbf{F}$  for  $1 \leq i \leq m$ .
  - $\implies$  Full recovery of a basis  $(\zeta I, \zeta \mathbf{z}, \zeta \mathbf{F}, \zeta \mathbf{G})$  for a  $\zeta = \pm \mathbf{x}^i$ .  
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# In practice

Fault after iteration number $m =$	2	5	10	20	40	60	80	100
Lattice reduction algorithm	LLL	LLL	LLL	LLL	LLL	LLL	BKZ-20	BKZ-20
Success probability for $\ell = m + 1$ (%)	75	77	90	93	94	94	95	95
Avg. CPU time for $\ell = m + 1$ (s)	0.001	0.003	0.016	0.19	2.1	8.1	21.7	104
Success probability for $\ell = m + 2$ (%)	89	95	100	100	99	99	100	100
Avg. CPU time for $\ell = m + 2$ (s)	0.001	0.003	0.017	0.19	2.1	8.2	21.6	146

# Conclusion and countermeasures

- ▶ Important to investigate implementation attacks on lattice schemes
- ▶ **Faults against Fiat-Shamir and Hash-And-Sign** signatures
  - ▶ Among **first fault attacks** against **non-broken** lattice signatures
  - ▶ Both based on early loop abort
  - ▶ One of them recovers **the full key with a single faulty sig.**
  - ▶ Other one: multiple faulty sig., but still **on fault per sig.**



## Conclusion and countermeasures

- ▶ Check that the loop ran completely (**two** loop counters)
- ▶ For  $y_1$ : check that the result has  $> (1 - \varepsilon) \cdot n$  non zero coeffs.
- ▶ Alternatively: randomize the order of generation of the coefficients (still a bit risky)

Thank you for your attention!

