

IBM Research

**Sieving for closest lattice vectors
(with preprocessing)**

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(August 12, 2016)



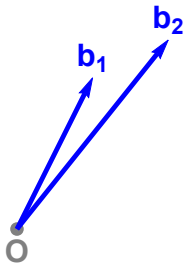
Lattices

What is a lattice?



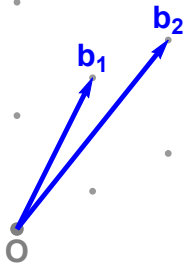
Lattices

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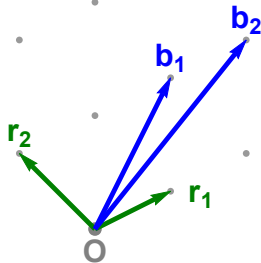
Lattices

What is a lattice?



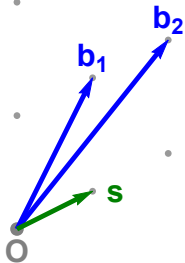
Lattices

Lattice basis reduction



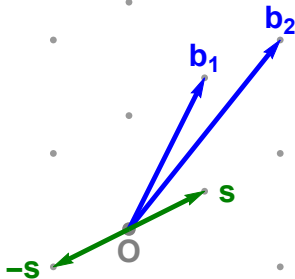
Lattices

Shortest Vector Problem (SVP)



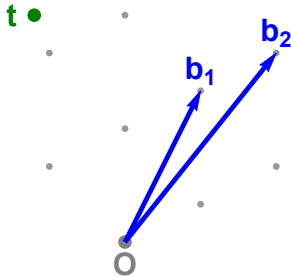
Lattices

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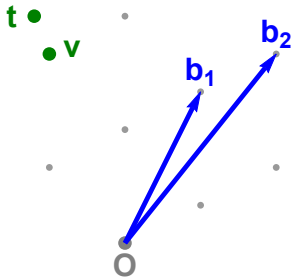
Lattices

Closest Vector Problem (CVP)



Lattices

Closest Vector Problem (CVP)





Outline

Sieving for SVP

Sieving for CVP

Sieving for CVPP

Conclusion



Outline

Sieving for SVP

Sieving for CVP

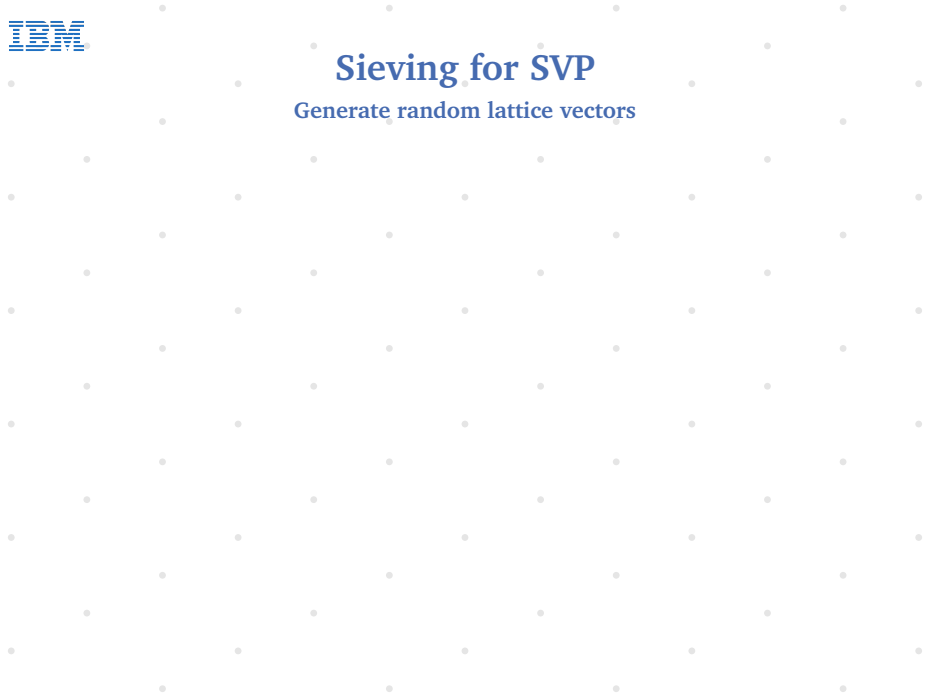
Sieving for CVPP

Conclusion



Sieving for SVP

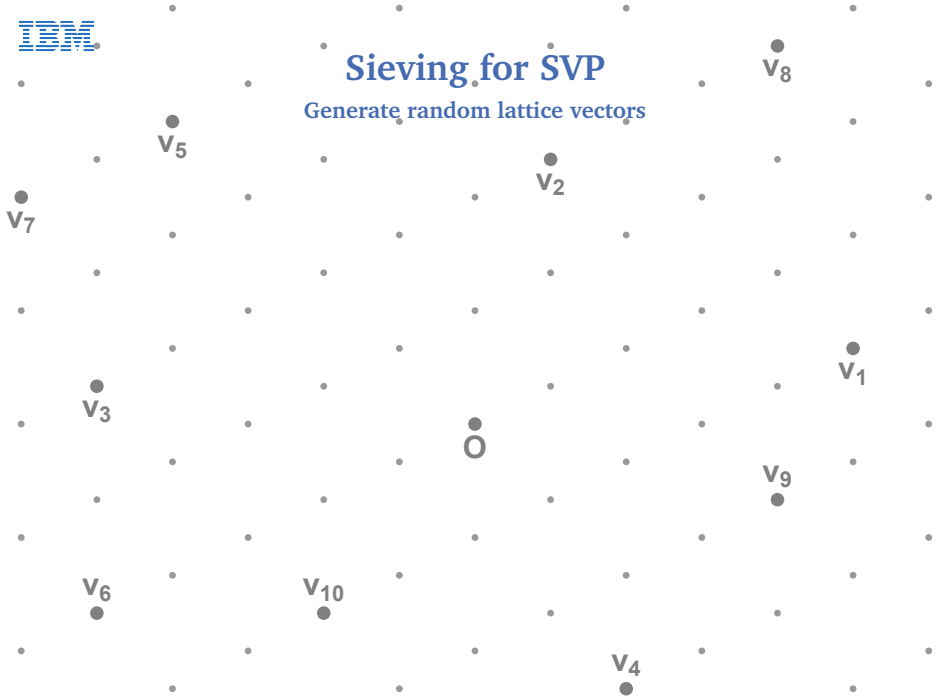
Generate random lattice vectors





Sieving for SVP

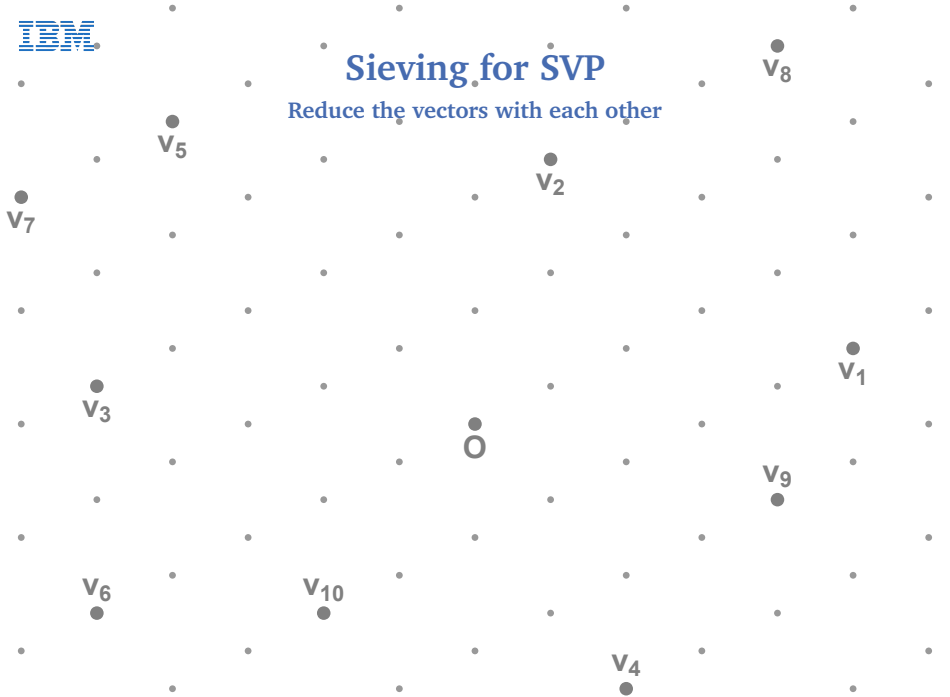
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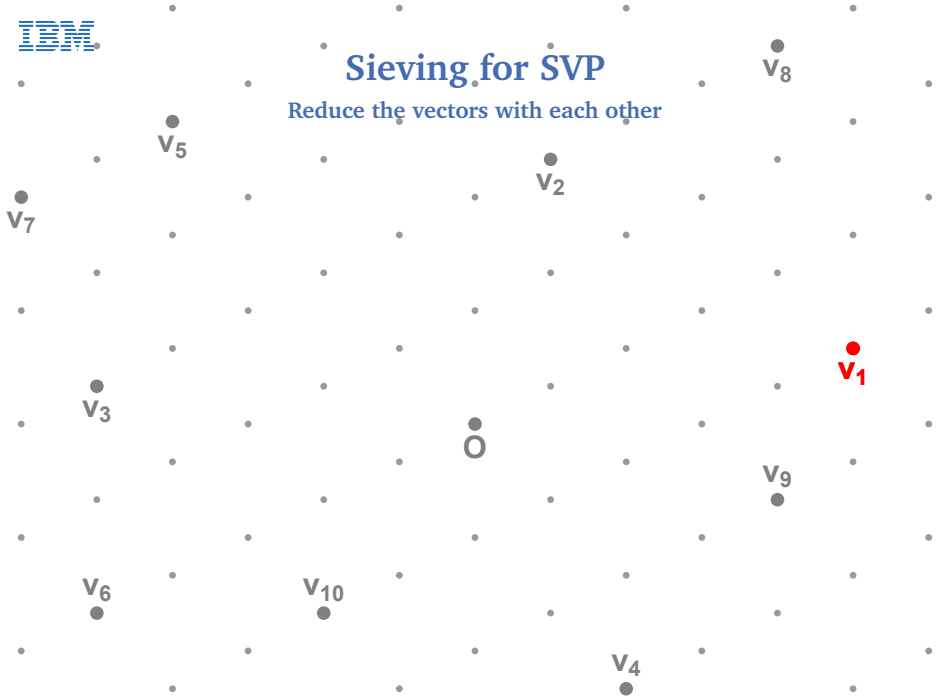
Reduce the vectors with each other





Sieving for SVP

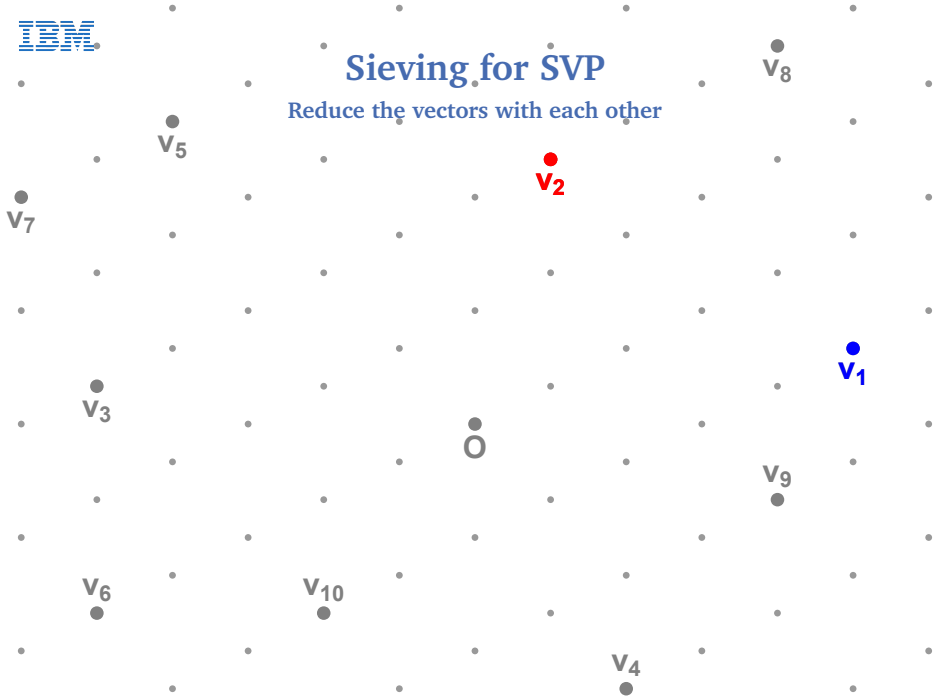
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Sieving for SVP

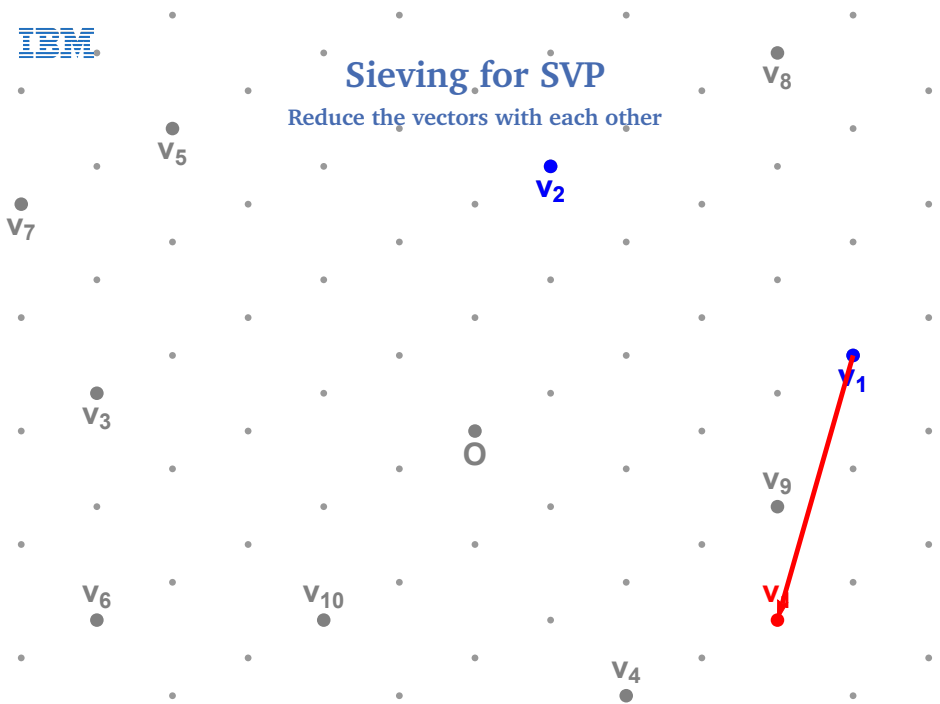
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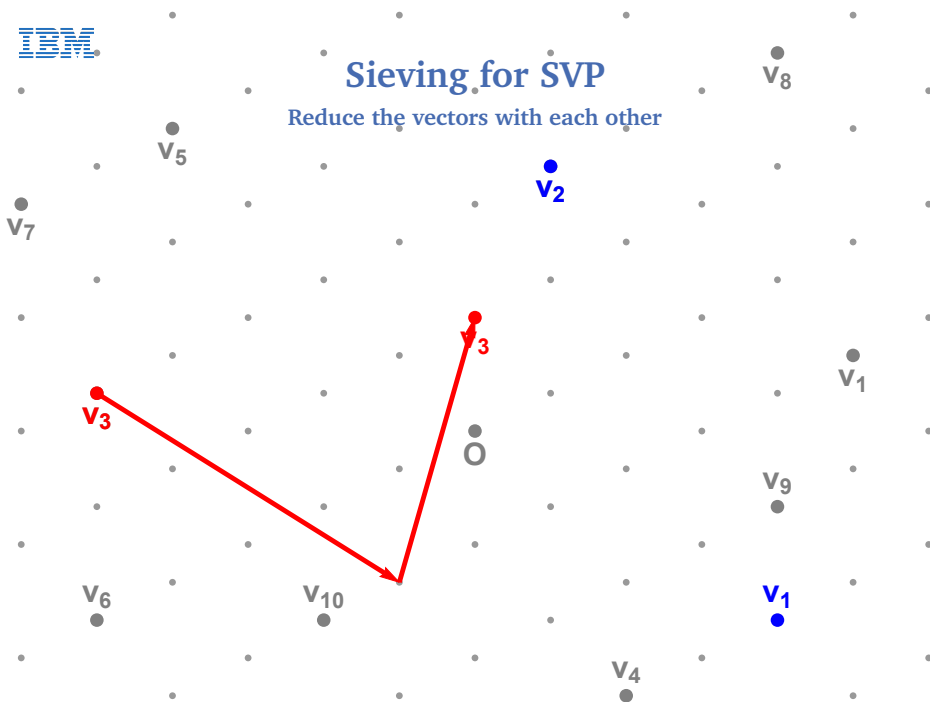
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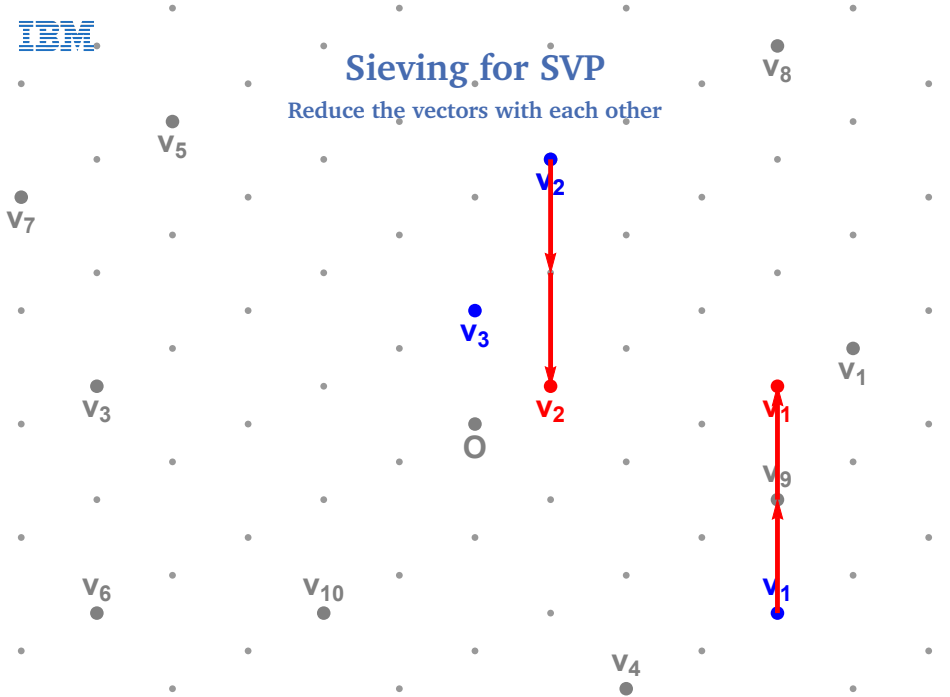
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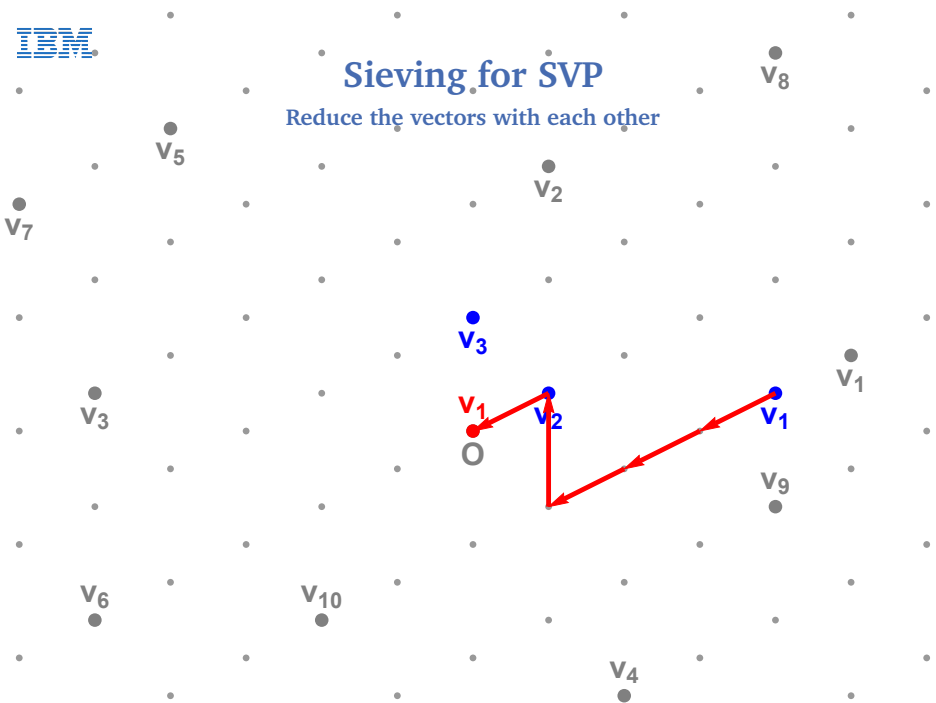
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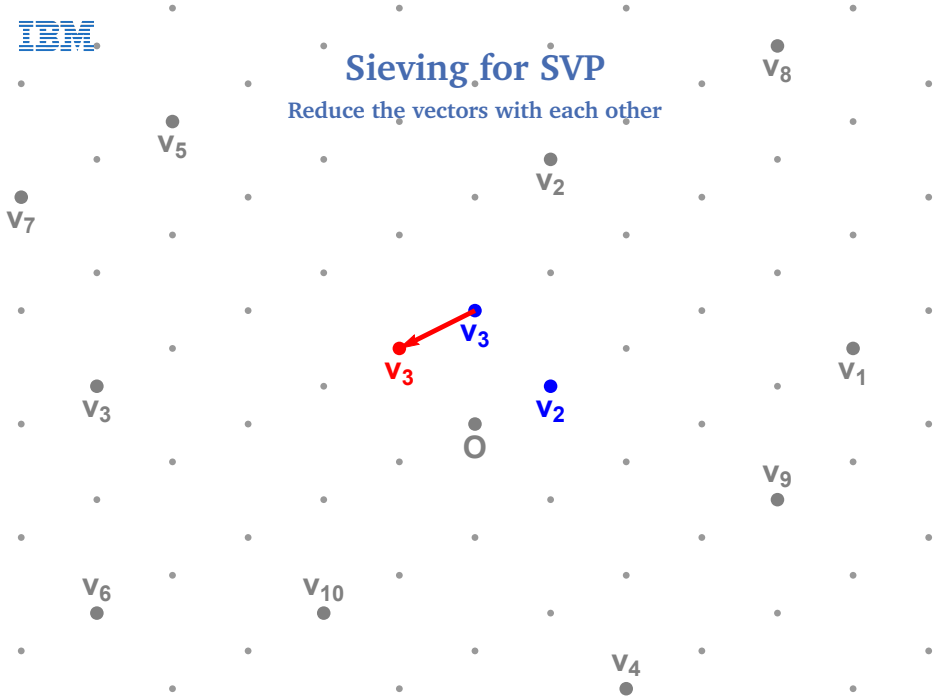
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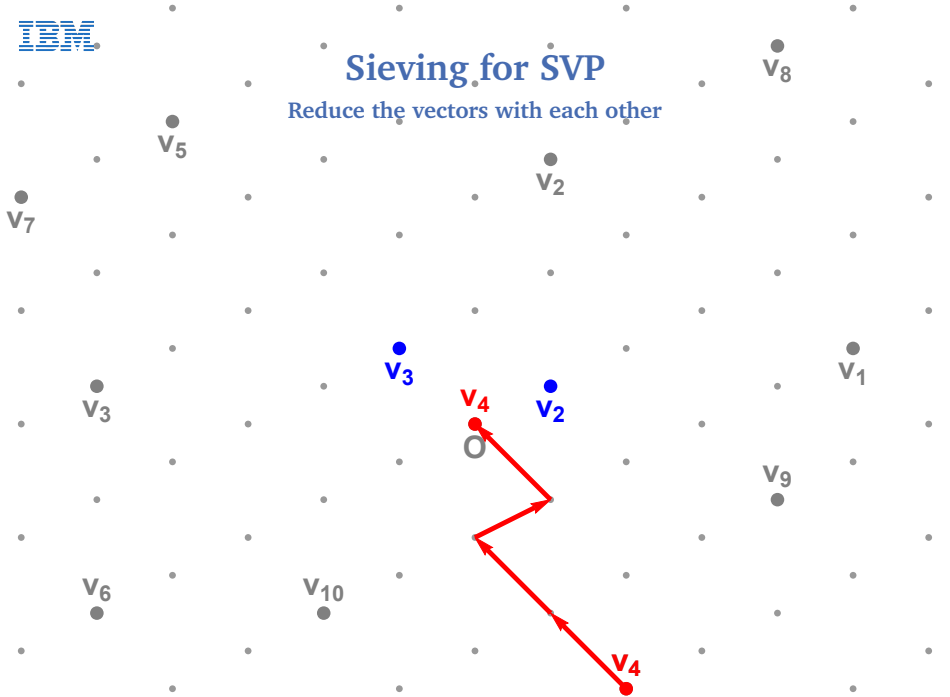
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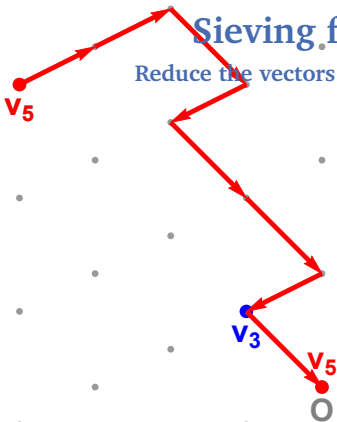
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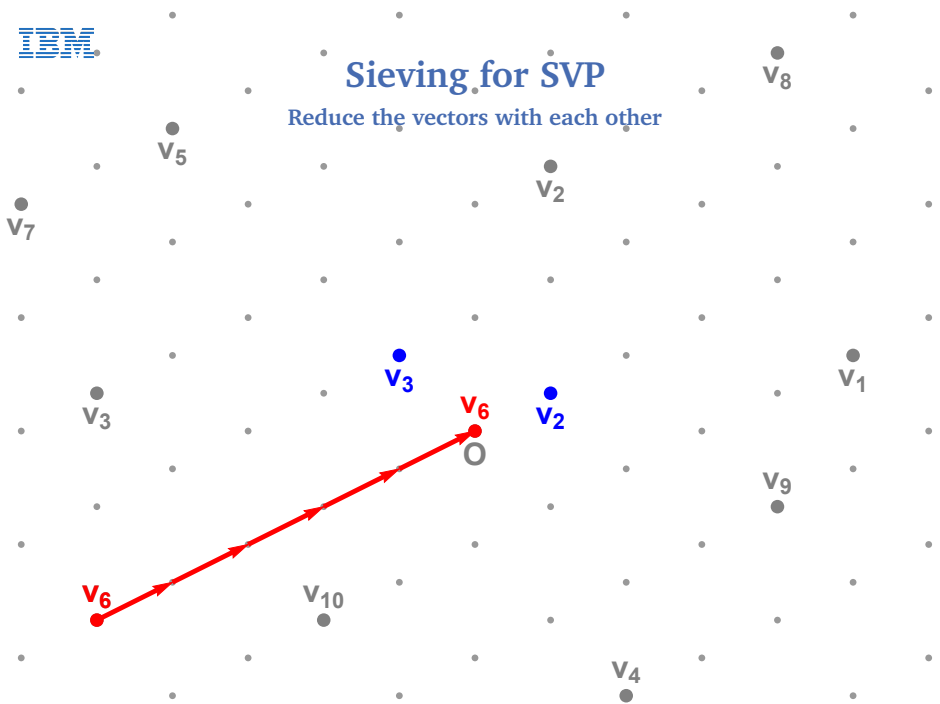
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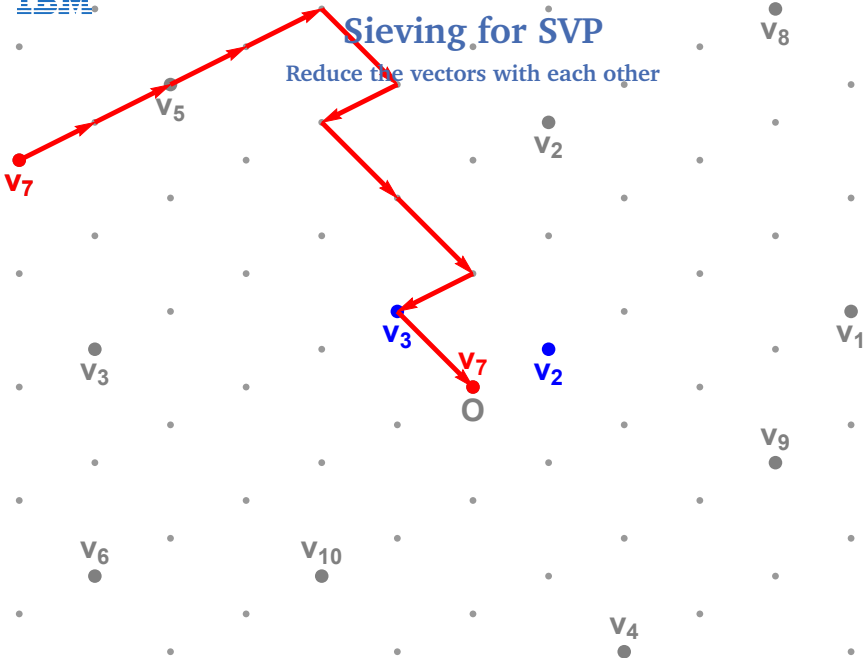
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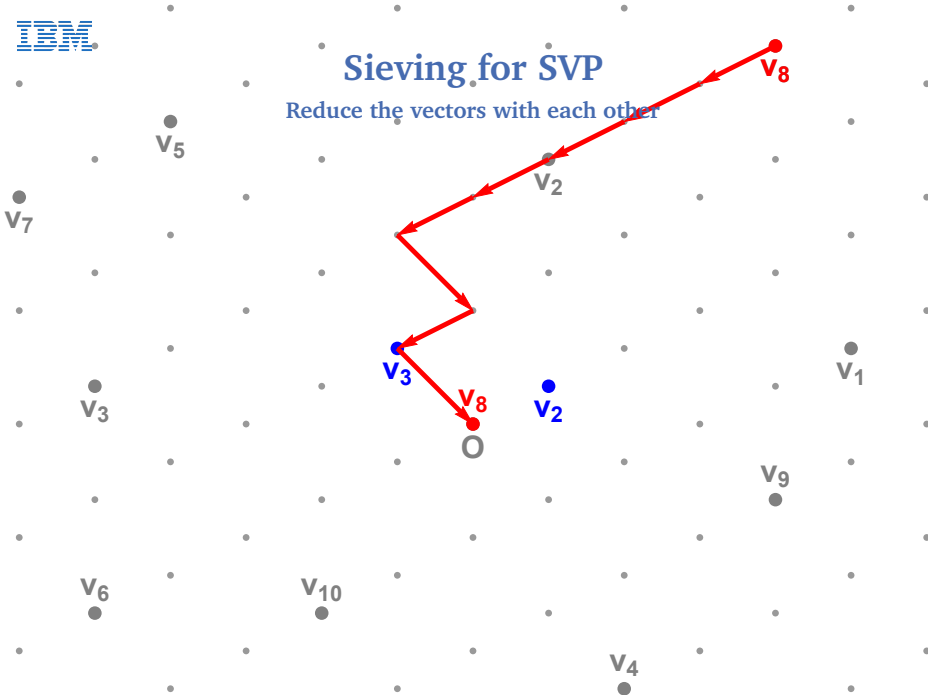
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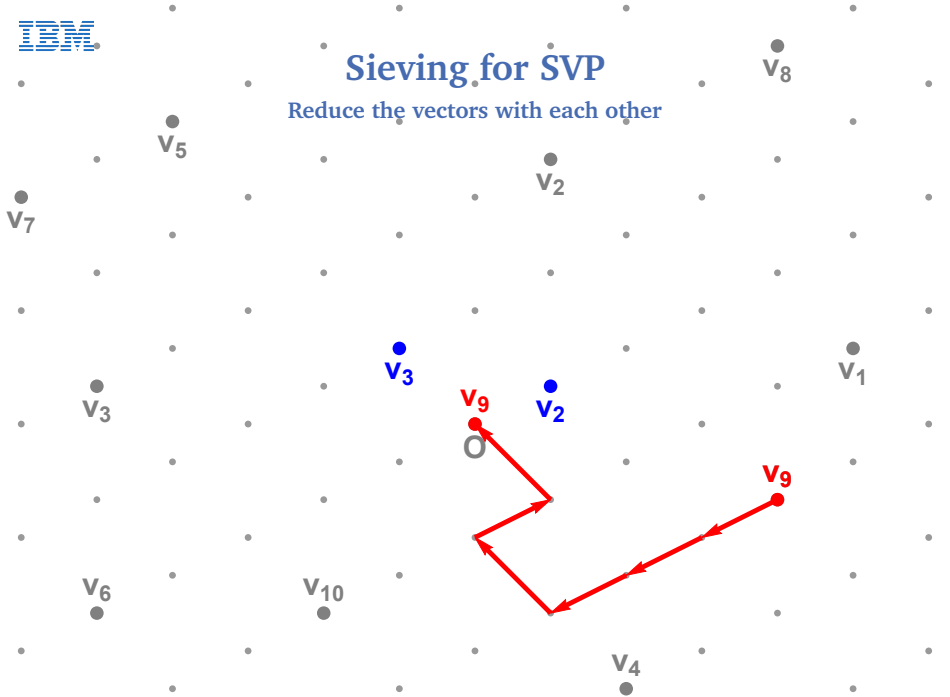
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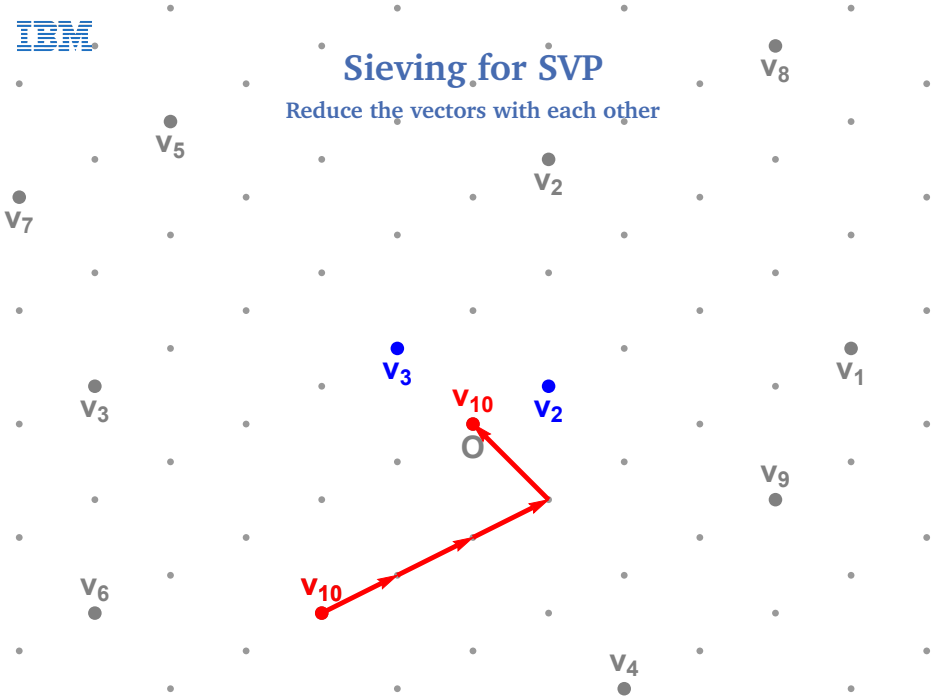
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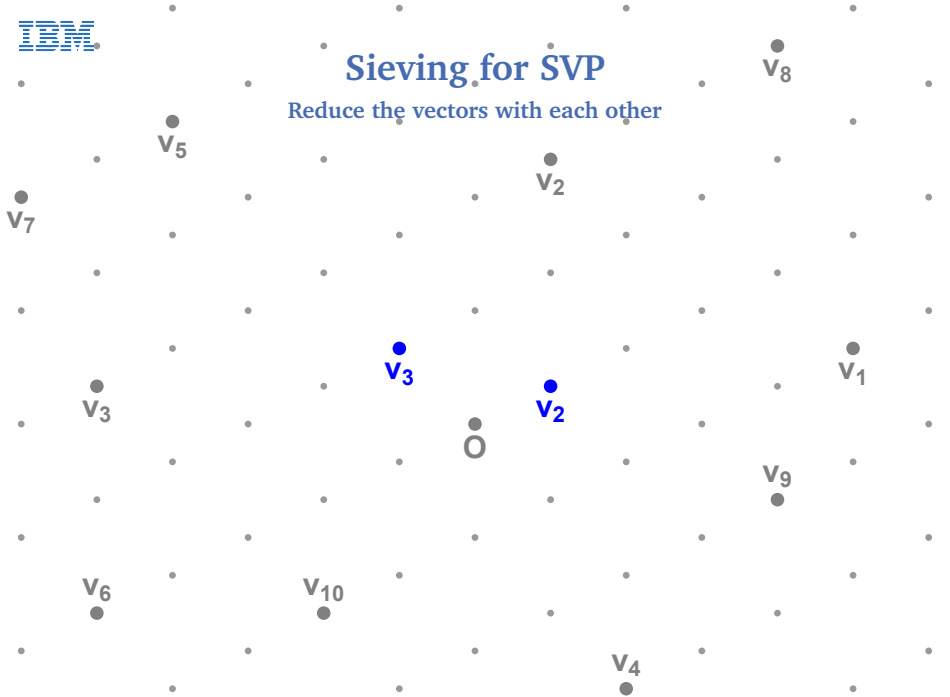
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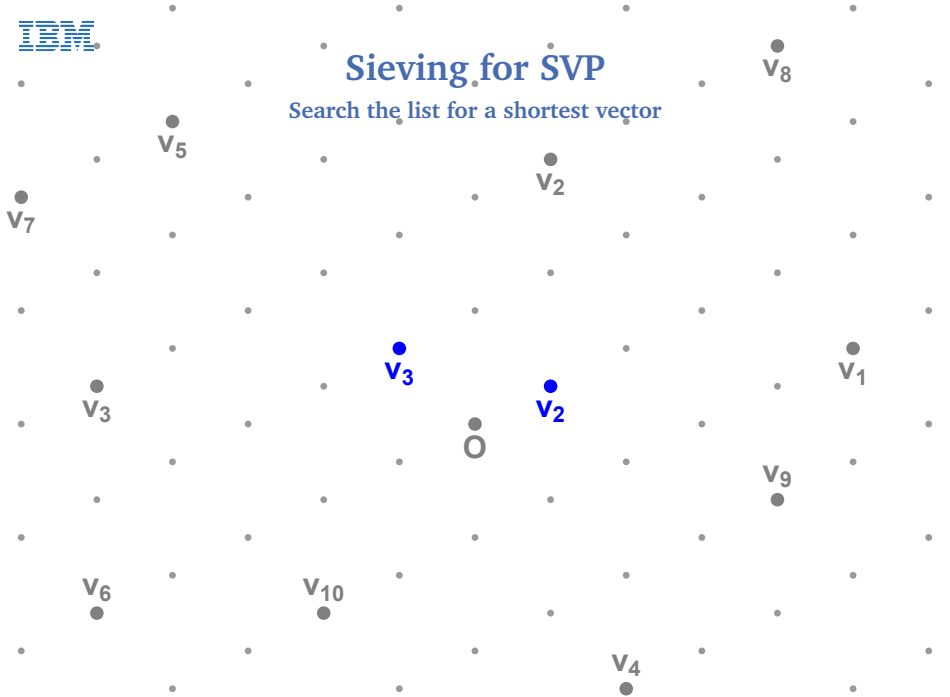
Reduce the vectors with each other





Sieving for SVP

Search the list for a shortest vector





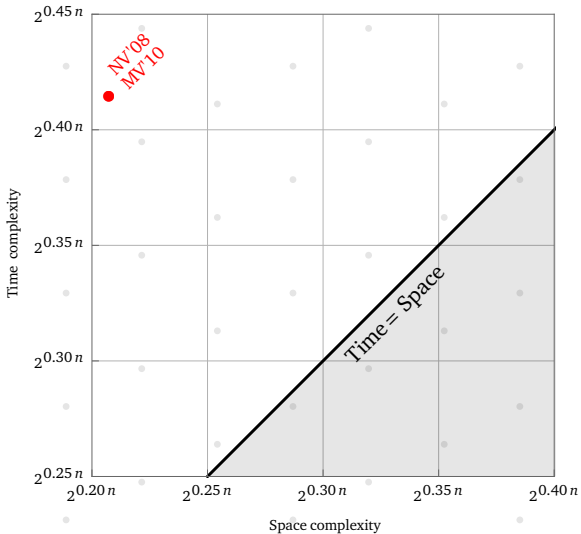
Sieving for SVP

Search the list for a shortest vector



Sieving for SVP

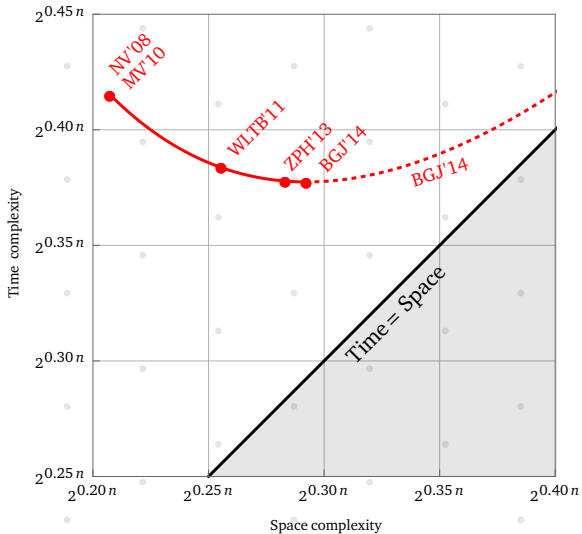
The GaussSieve and Nguyen-Vidick sieve





Sieving for SVP

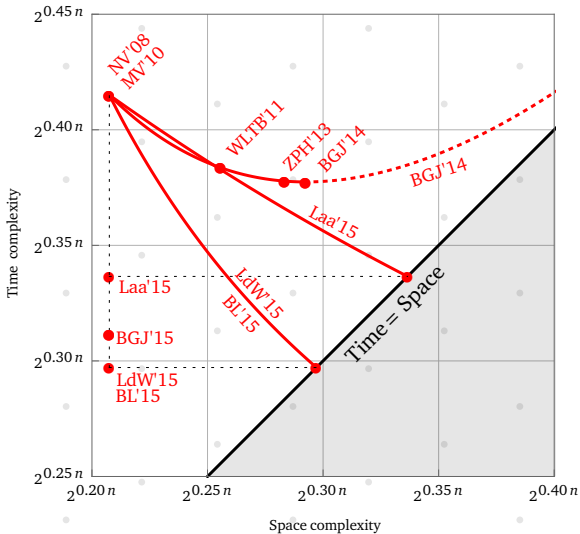
Leveled sieving approaches





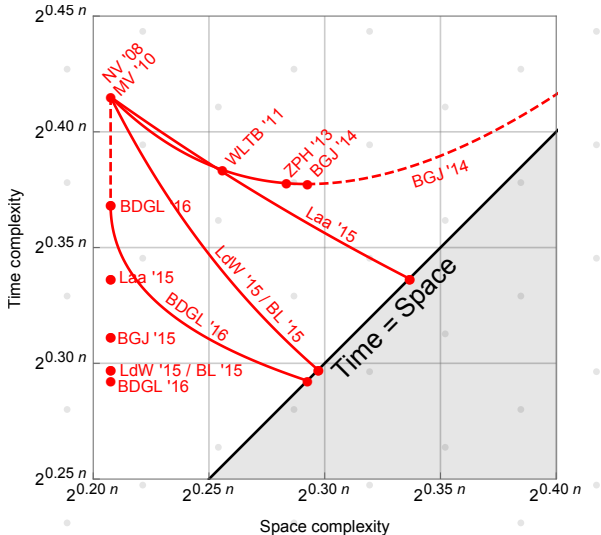
Sieving for SVP

Locality-Sensitive Hashing (LSH)



Sieving for SVP

Locality-Sensitive Filters (LSF)





Outline

Sieving for SVP

Sieving for CVP

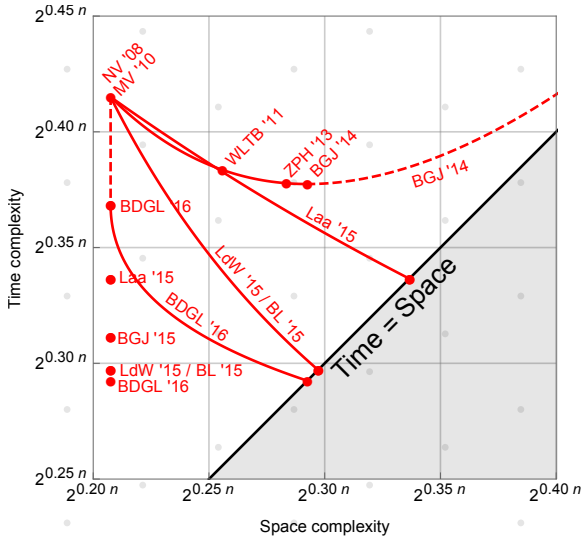
Sieving for CVPP

Conclusion



Sieving for SVP

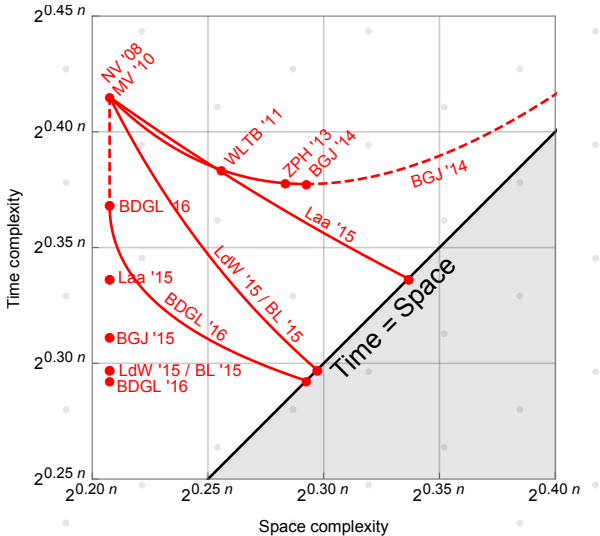
Space/time trade-offs





Sieving for CVP

Space/time trade-offs



Sieving for CVP

- Intuitively, $\text{CVP}_n \approx \text{SVP}_{n+1}$ [Kan87]

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- Can also directly modify sieving to solve CVP
- Costs of CVP_n factor 2 more than SVP_n



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Sieving for CVPP

Run a GaussSieve as preprocessing



Sieving for CVPP

Run a GaussSieve as preprocessing

A 2D lattice of points is shown. The origin is marked with a grey circle and labeled 'O'. Two vectors, v_1 and v_2 , are highlighted with blue dots and labels. v_1 is a vector pointing to the right and slightly up from the origin. v_2 is a vector pointing up and to the left from the origin.

v_2

v_1

O

Sieving for CVPP

Reduce the target vectors with the list



v_2

v_1

O



Sieving for CVPP

Reduce the target vectors with the list

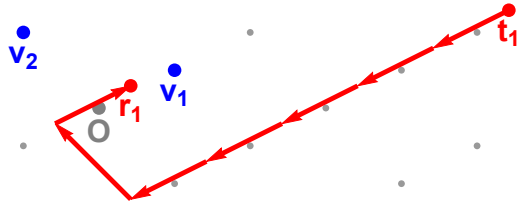
v_2

v_1

t_1

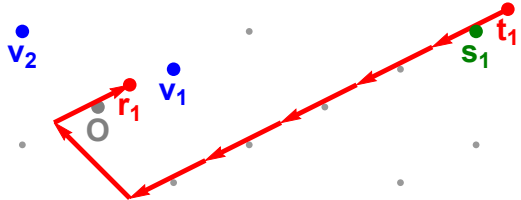
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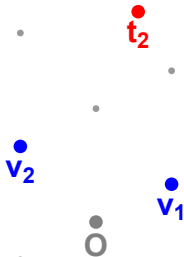
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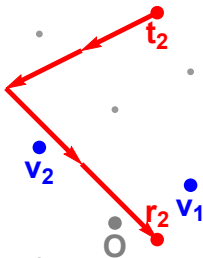
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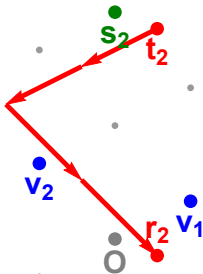
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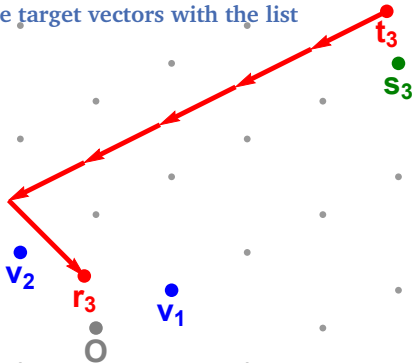
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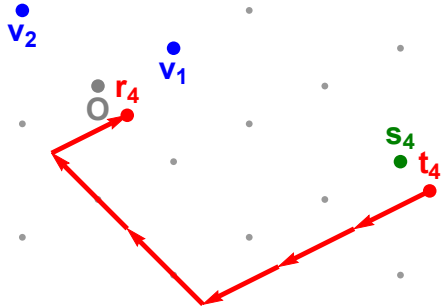
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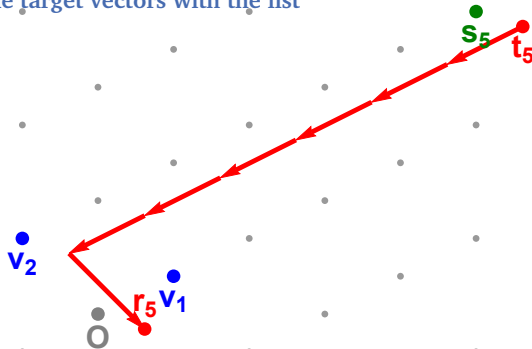
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v_2

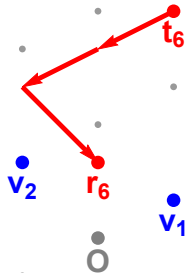
v_1

t_6

O

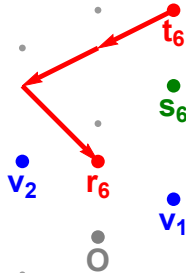
Sieving for CVPP

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Sieving for CVPP

Relation with the Voronoi cell



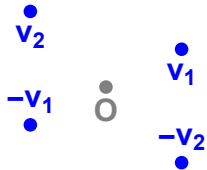
v_2

v_1

O

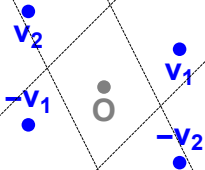
Sieving for CVPP

Relation with the Voronoi cell



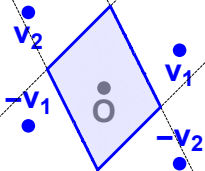
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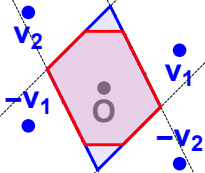
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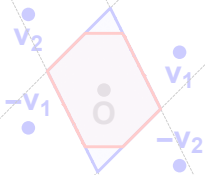
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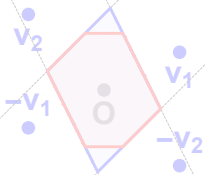
Overview



Sieving for CVPP

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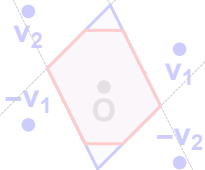
- Blue region: Gauss cell \mathcal{G}



Sieving for CVPP

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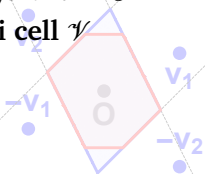
- Blue region: **Gauss cell** \mathcal{G}
 - ▶ Defined by $2^{0.21n+o(n)}$ short lattice vectors
 - ▶ Volume: $\text{Vol}(\mathcal{G}) = 2^{O(n)} \cdot \det(\mathcal{L})$
 - ▶ Reductions always land in \mathcal{G}



Sieving for CVPP

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Sieving for CVPP

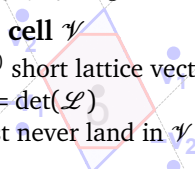
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- Problems:
 - ▶ Exponentially small success probability $\text{Vol}(\mathcal{V})/\text{Vol}(\mathcal{G})$
 - ▶ Probability only over randomness of targets



Sieving for CVPP

Solving the problems

- **Idea 1: Larger lists, weaker reductions**



Sieving for CVPP

Solving the problems

- Idea 1: **Larger lists, weaker reductions**
 - ▶ Problem: Exponentially small success probability

Sieving for CVPP

Solving the problems

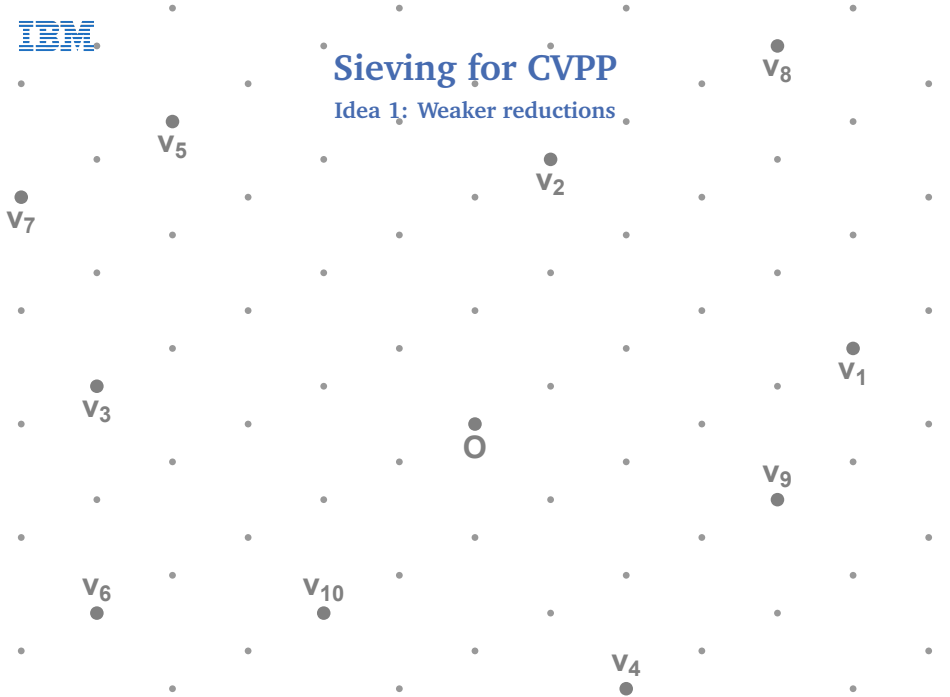
- Idea 1: **Larger lists, weaker reductions**

- ▶ Problem: Exponentially small success probability
- ▶ To guarantee $\text{Vol}(\mathcal{G}) \approx \text{Vol}(\mathcal{V})$, need $2^{n/2+o(n)}$ vectors
- ▶ Preprocessing: reduce \mathbf{v}_1 with \mathbf{v}_2 iff
$$\|\mathbf{v}_1 - \mathbf{v}_2\| \leq (\sqrt{2} - \sqrt{2})\|\mathbf{v}_1\|$$
- ▶ Fewer reductions \implies NNS techniques work even better!



Sieving for CVPP

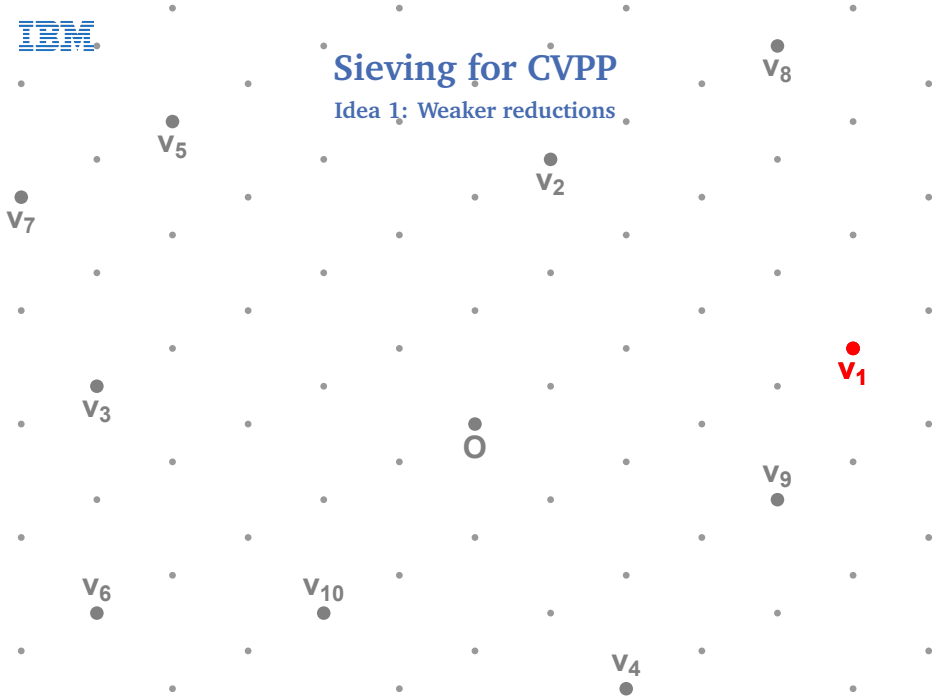
Idea 1: Weaker reductions





Sieving for CVPP

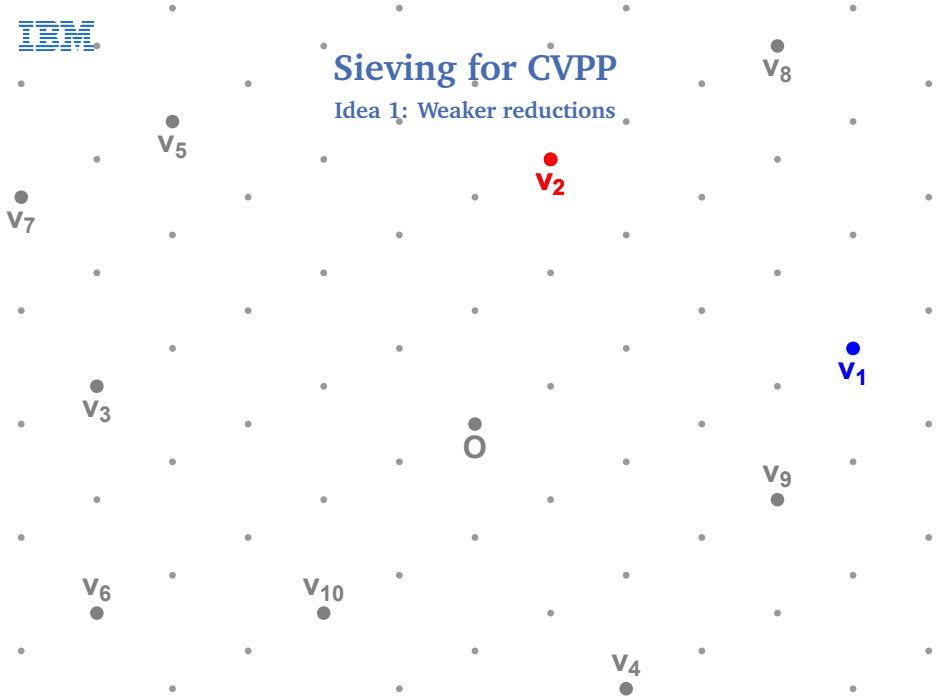
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Sieving for CVPP

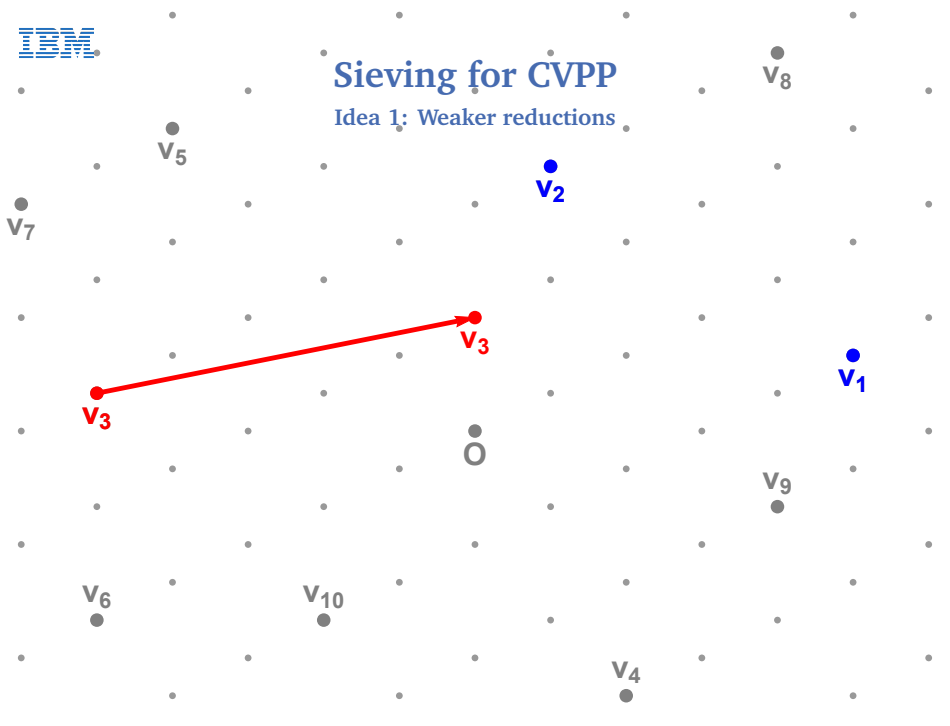
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Sieving for CVPP

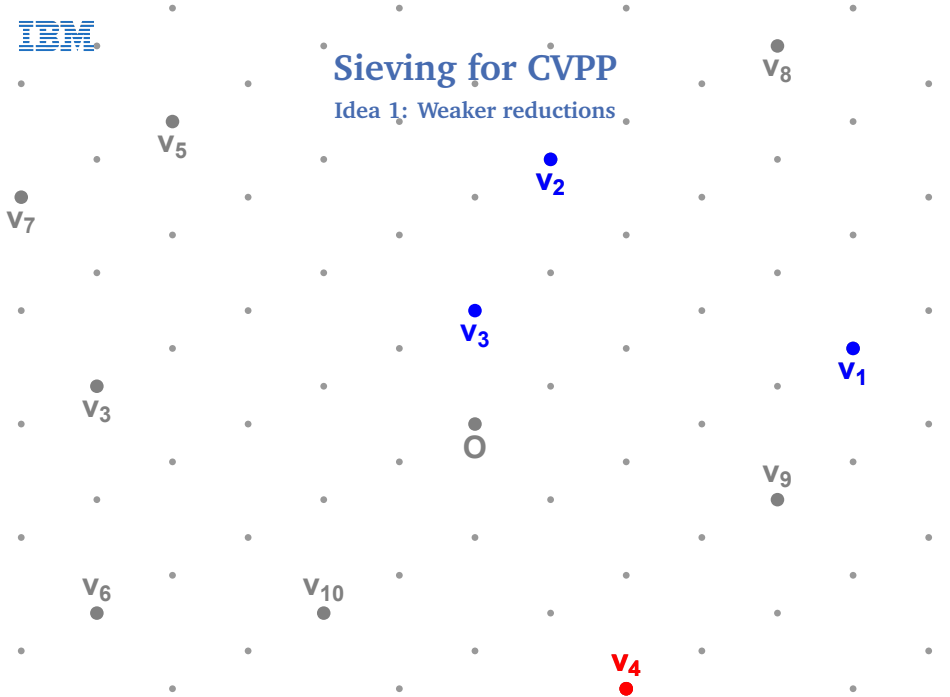
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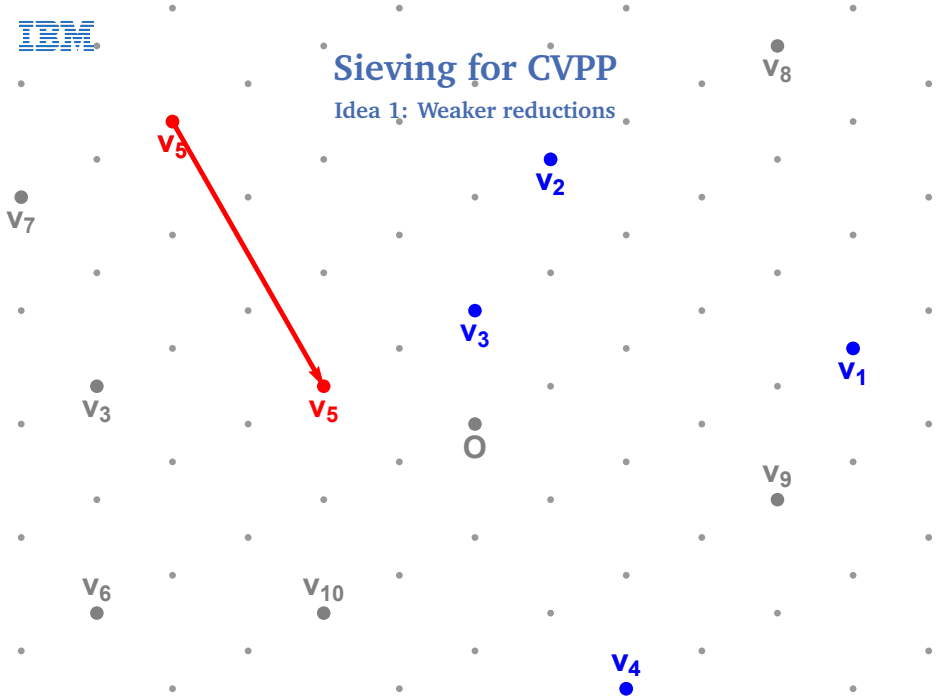
Sieving for CVPP

Idea 1: Weaker reductions



Sieving for CVPP

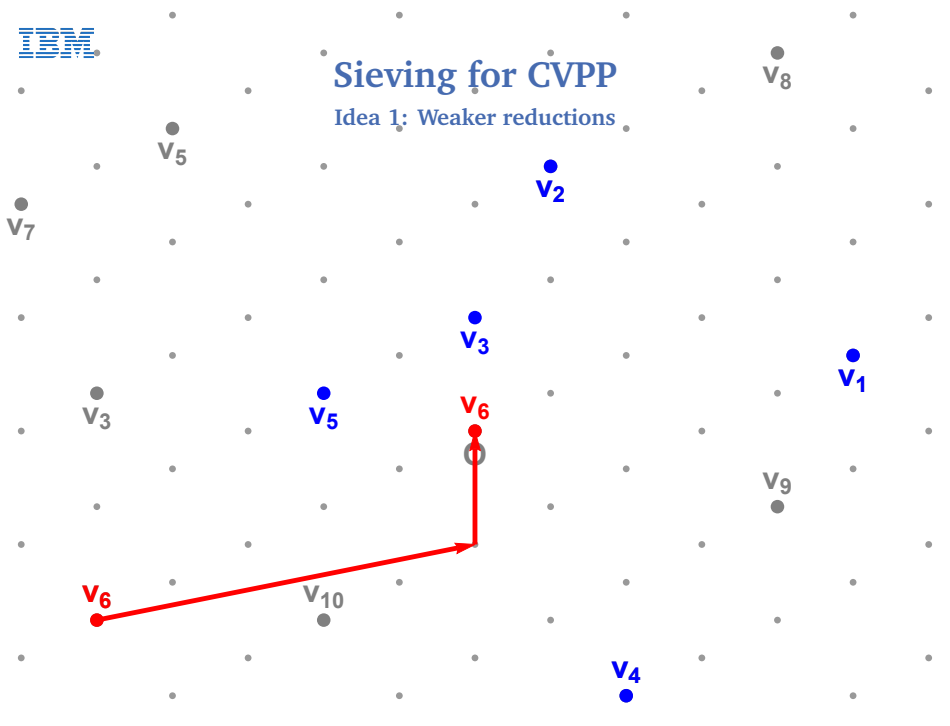
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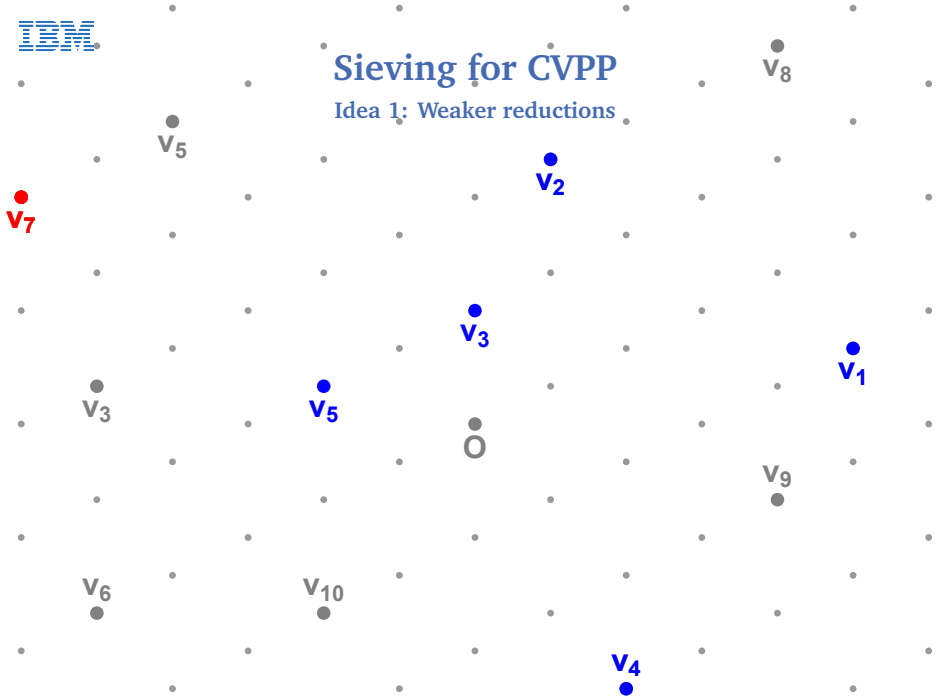
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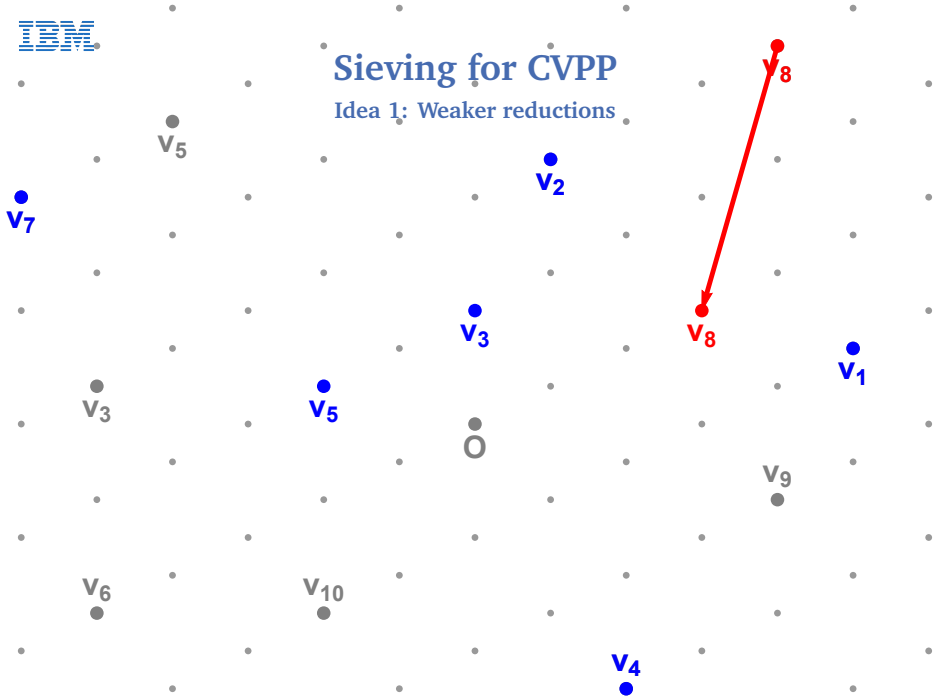
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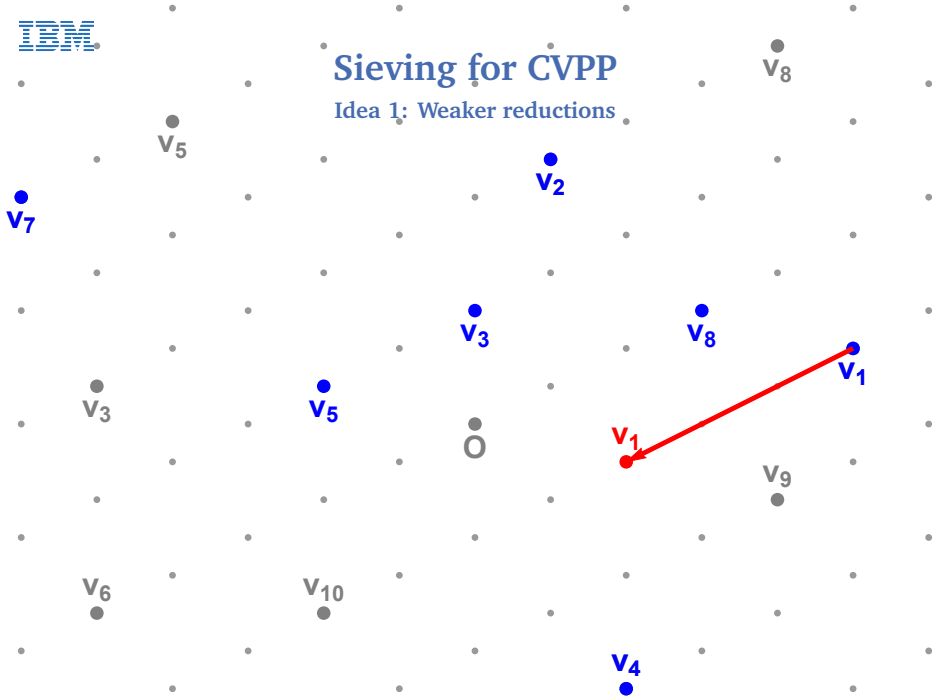
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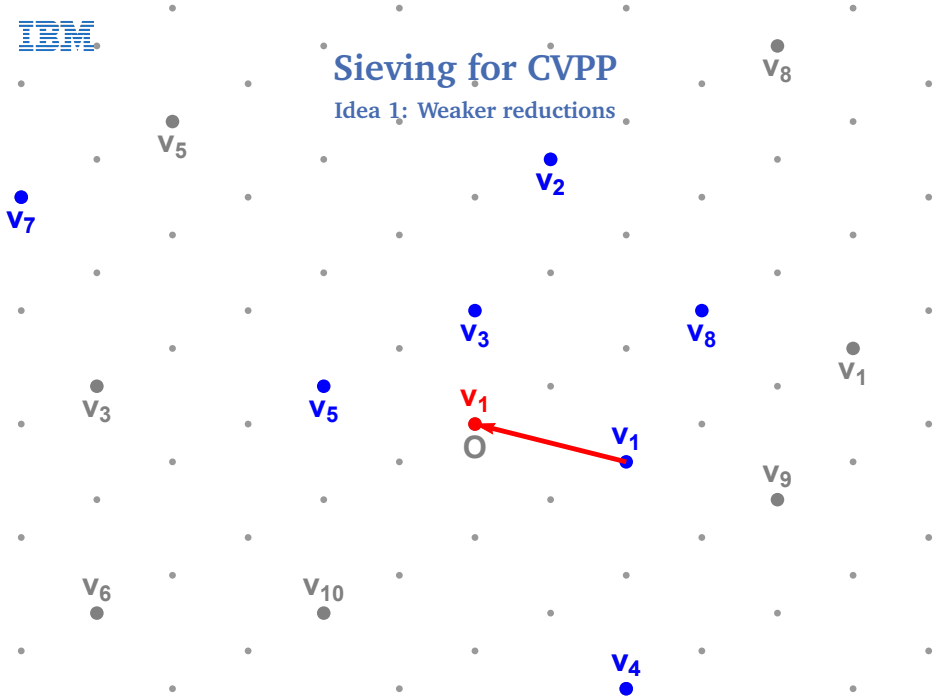
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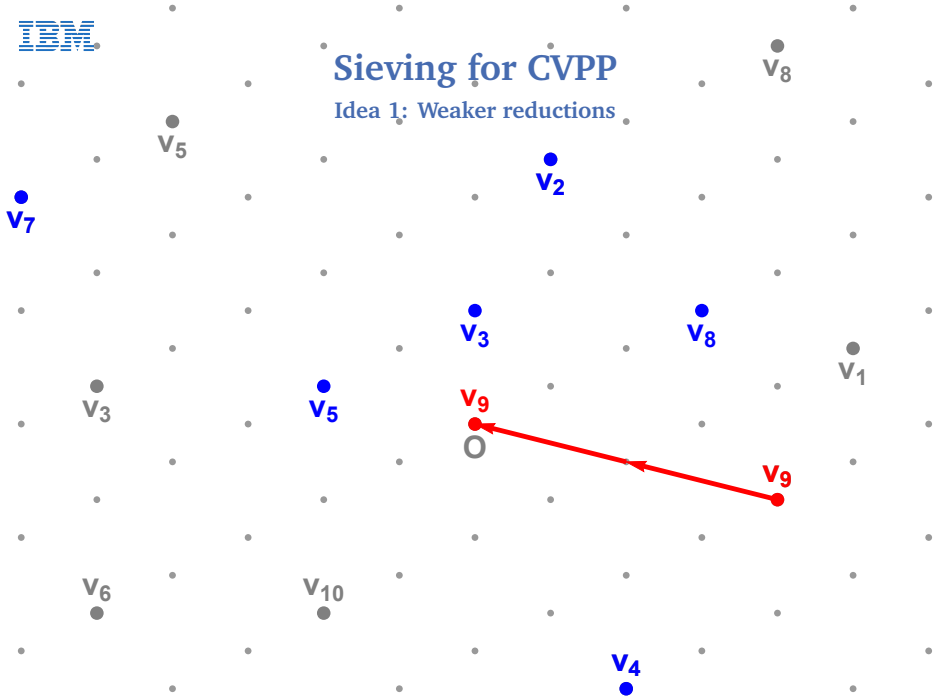
Sieving for CVPP

Idea 1: Weaker reductions



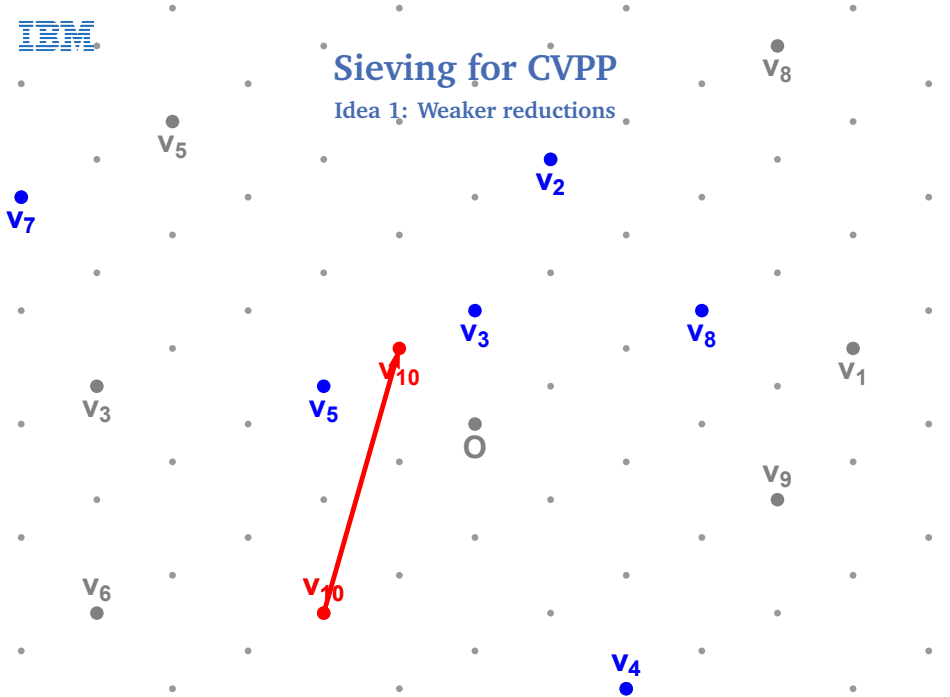
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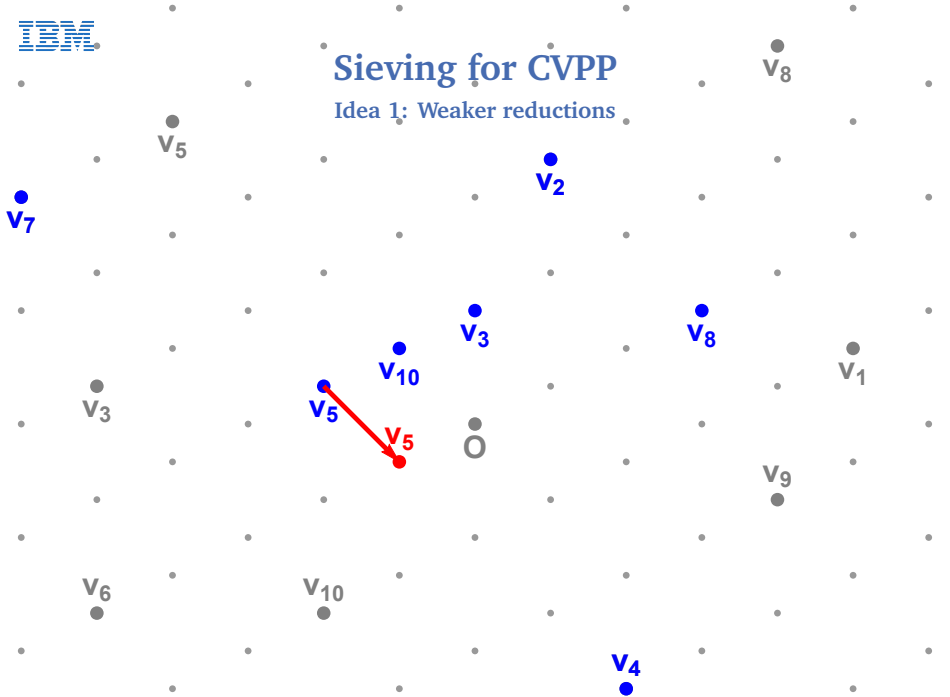
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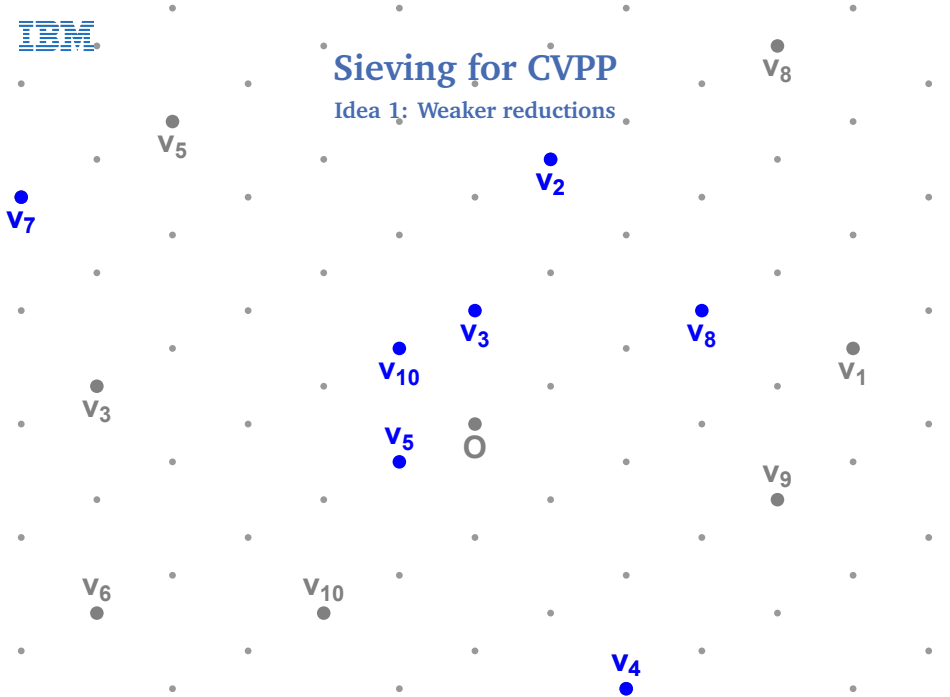
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Sieving for CVPP

Solving the problems

- Idea 1: **Larger lists, weaker reductions**

- ▶ Problem: Exponentially small success probability
- ▶ To guarantee $\text{Vol}(\mathcal{G}) \approx \text{Vol}(\mathcal{V})$, need $2^{n/2+o(n)}$ vectors
- ▶ Preprocessing: reduce \mathbf{v}_1 with \mathbf{v}_2 iff
$$\|\mathbf{v}_1 - \mathbf{v}_2\| \leq (\sqrt{2} - \sqrt{2})\|\mathbf{v}_1\|$$
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- Idea 2: **Rerandomizations** (full version)

- ▶ Problem: Probability only over randomness of targets
- ▶ Randomize target \mathbf{t} before reducing ($\mathbf{t}' \in_R \mathbf{t} + \mathcal{L}$)
- ▶ Randomness now over algorithm, independently of target
- ▶ Optimize expected time (time / success probability)

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Idea 2: Rerandomize the target



v_2

v_1

O

Sieving for CVPP

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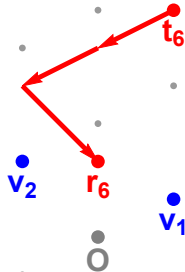
v_2

v_1

t_6

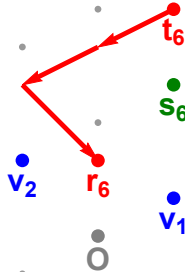
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v_2

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t_6

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v_2

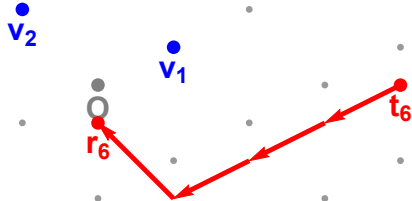
v_1

0

t_6

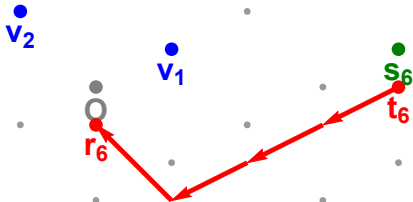
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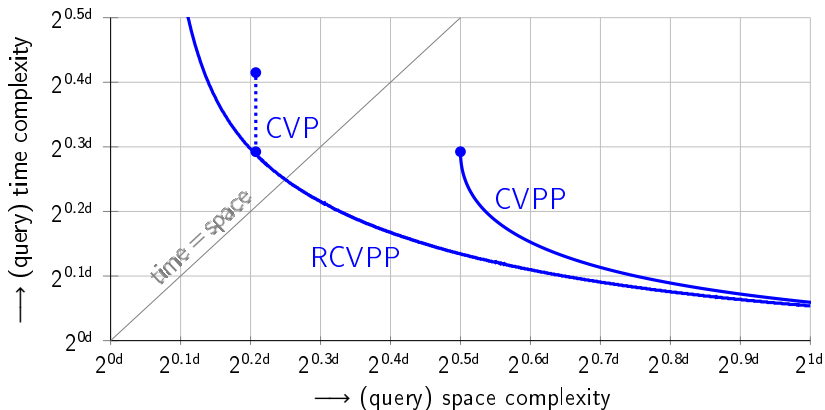
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Sieving for CVPP

Trade-offs





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 - ▶ CVPP in low dimension \implies no memory issues



Questions?

